

Dielectric spectroscopy of complex systems in Time and Frequency Domains: Problems and solutions



Dr. A. Puzenko



Dr. Ya. Ryabov



Mr. I. Beilin



Mr. L. Livshitz



Yuri Feldman

*Department of Applied Physics,
The Hebrew University of Jerusalem,
Israel*



Dr. Y. Hayashi



Dr. A. Gutina



Mrs. E. Axelrod



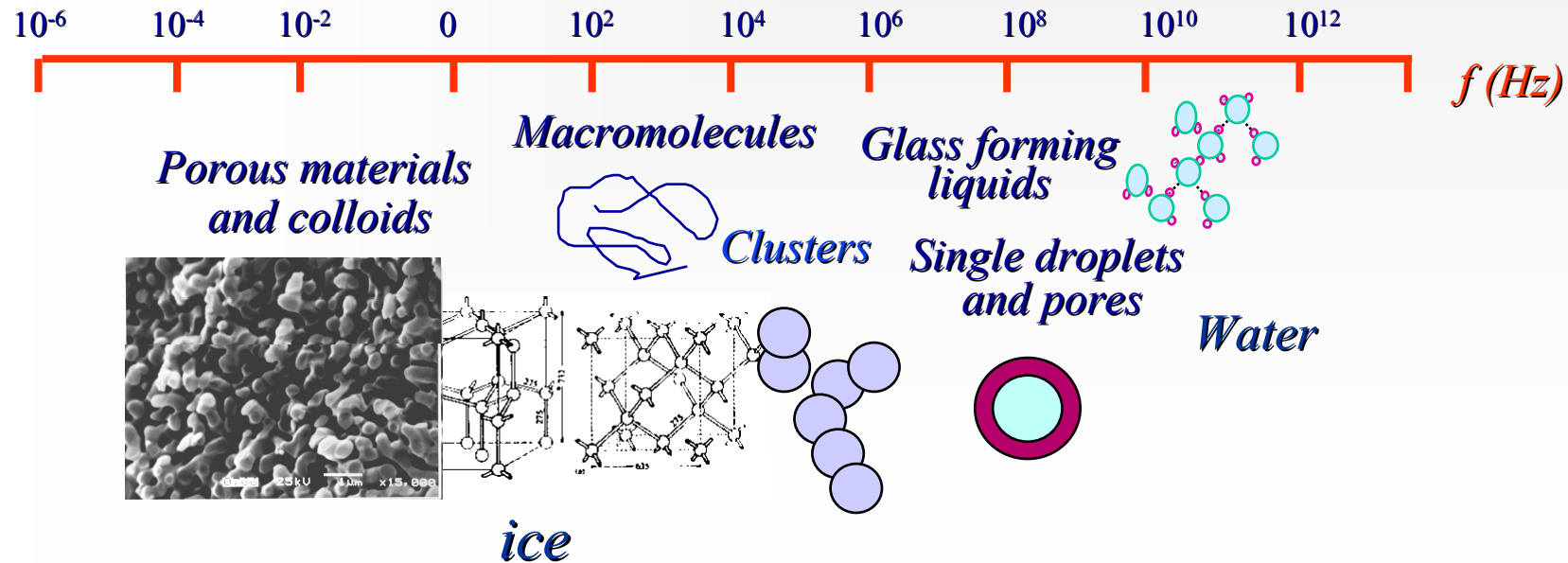
Mr. P. Ben Ishai

Dielectric response on mesoscale

Dielectric spectroscopy is sensitive to relaxation processes in an extremely wide range of characteristic times ($10^5 - 10^{-12}$ s)

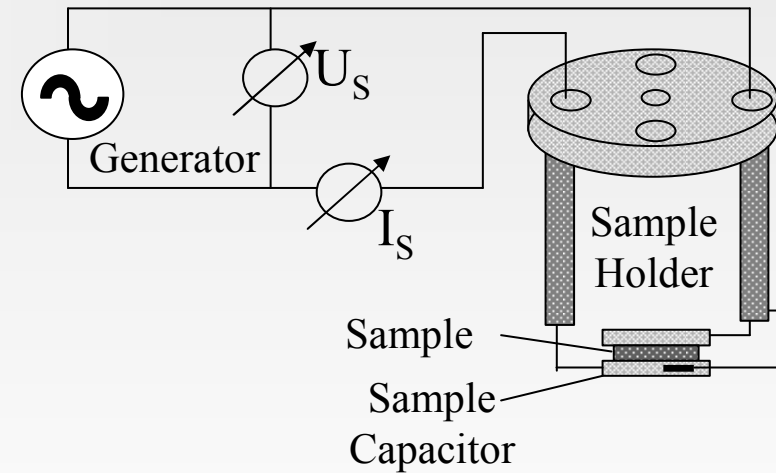
Broadband Dielectric Spectroscopy

Time Domain Dielectric Spectroscopy; Time Domain Reflectometry



Measurement Setup

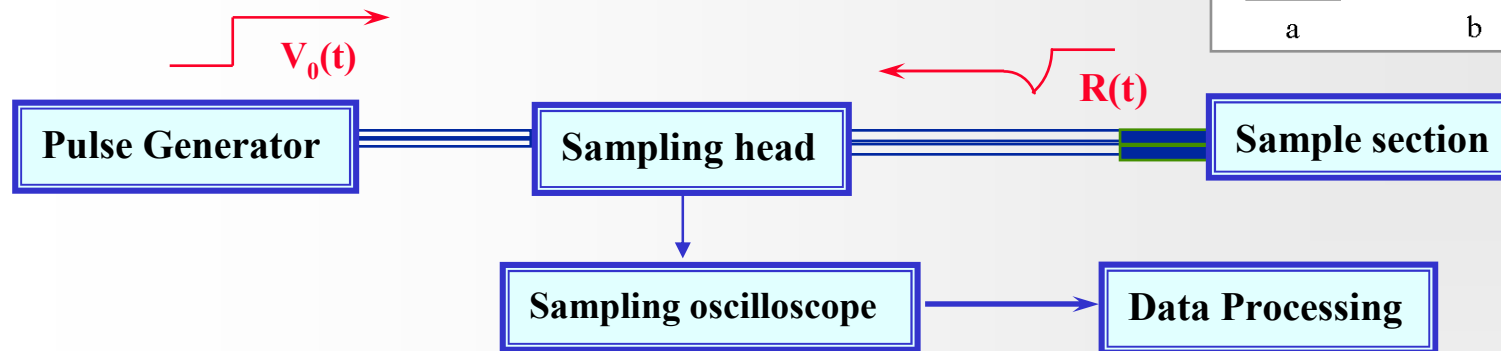
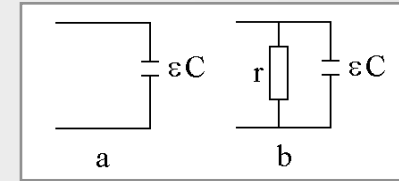
Broad-Band Dielectric Spectrometer BDS 80 (NOVOCONTROL) in the frequency range 10 μ Hz – 1.8 GHz with automatic temperature control by QUATRO Cryosystem (temperature range -150 $^{\circ}$ C - +400 $^{\circ}$ C)



$$Z^*(\omega) = Z'(\omega) + iZ''(\omega) = U_s(\omega) / I_s(\omega)$$

$$\varepsilon^*(\omega) = \varepsilon'(\omega) - i\varepsilon''(\omega) = -i / 2\pi f Z(f) C_0$$

Time domain dielectric spectroscopy



$$V(t) = V_0(t) + R(t)$$

$$I(t) = \frac{1}{Z_0} [V_0(t) - R(t)],$$

lumped capacitance approximation in time domain:

$$Q(t) = C_0 \left[\epsilon_\infty V(t) + \int_0^t \dot{\Phi}(t-t') V(t') dt' \right]$$

Spectrum

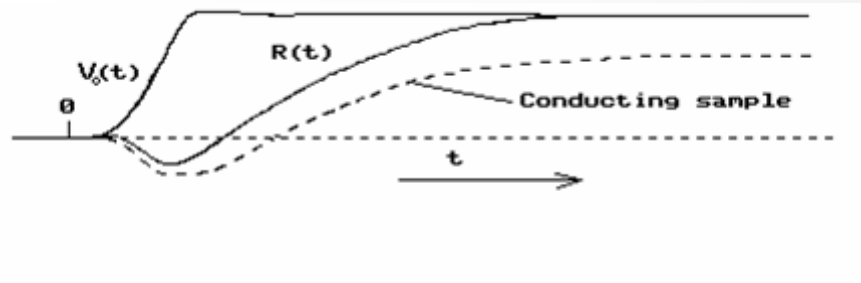
Dipole Correlation Function

100KHz – 18 GHz

*Yu. Feldman, et al., (1996)
Rev. Sci. Instrum. 67, 3208.*

$$\epsilon^*(\omega) = \epsilon_\infty + \frac{1}{Z_0 C_0} \cdot \frac{L[Q(t)]}{L[V(t)]}$$

$$\frac{\epsilon_s - \Phi(t)}{\epsilon_s - \epsilon_\infty} \approx \Gamma(t) = \frac{\langle \bar{M}(0) \bar{M}(t) \rangle}{\langle \bar{M}(0) \bar{M}(0) \rangle}$$



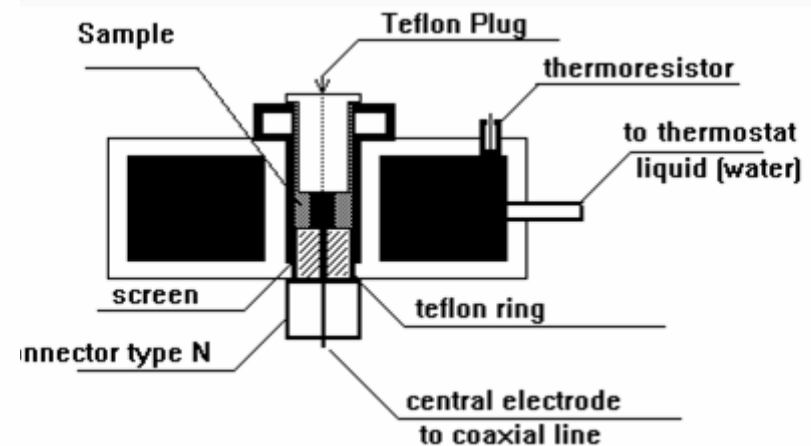
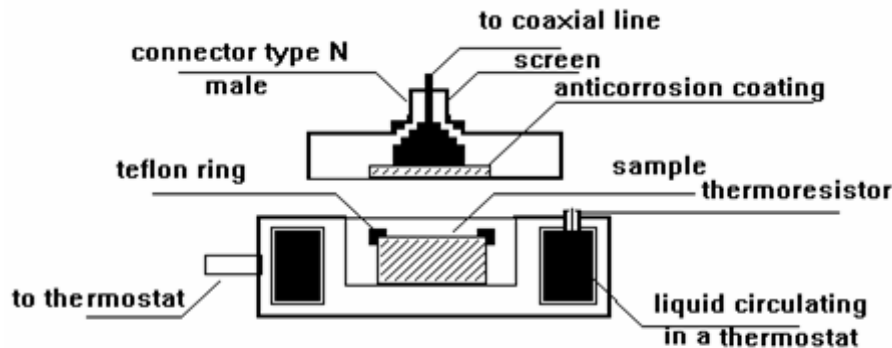
$$r = \lim_{t \rightarrow \infty} \frac{V(t)}{I(t)} = Z_0 \lim_{t \rightarrow \infty} \frac{V_0(t) + R(t)}{V_0(t) - R(t)}$$

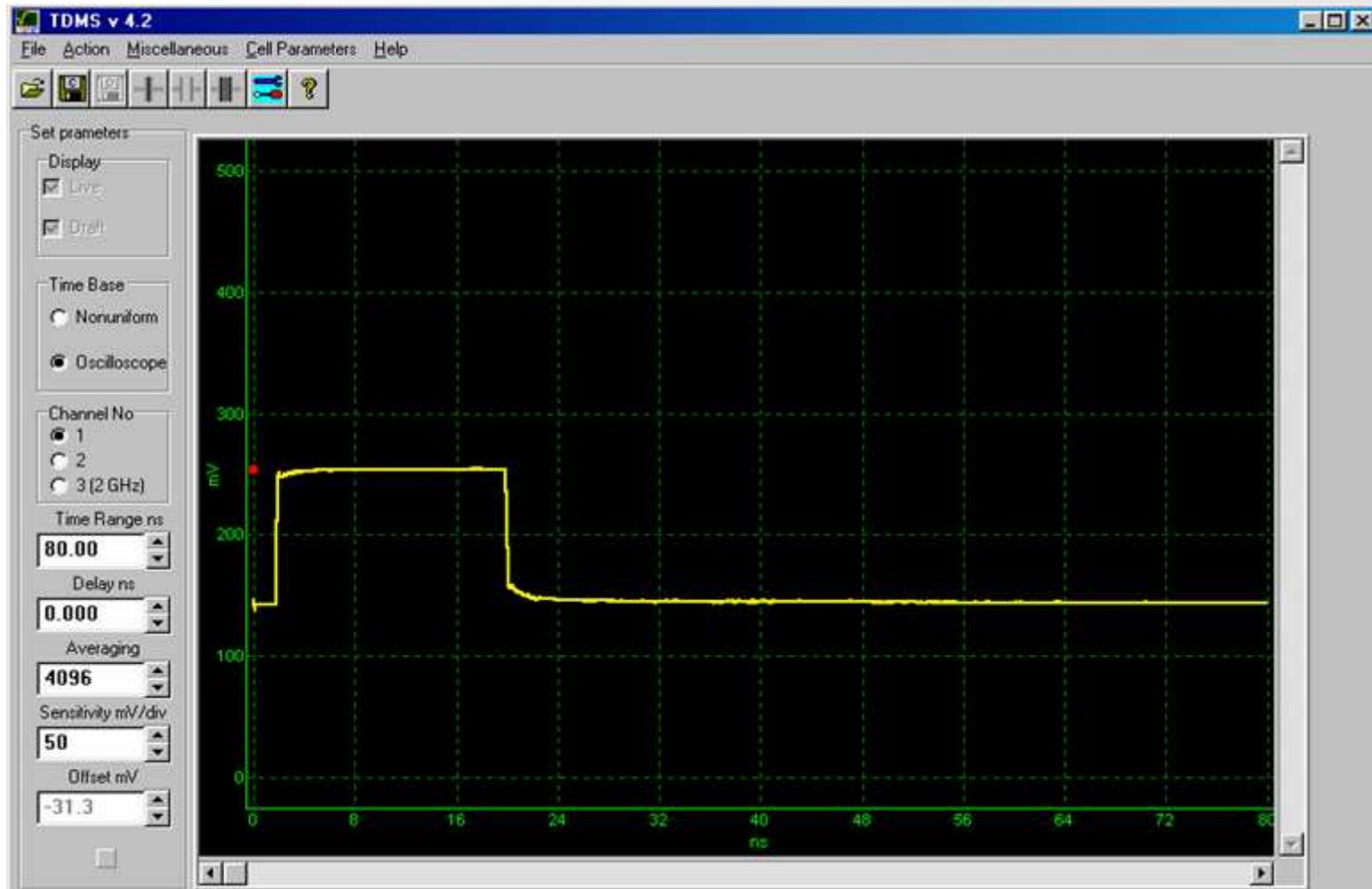
$$I_R(t) = \frac{V(t)}{r} = \frac{V_0(t) + R(t)}{Z_0} \lim_{t \rightarrow \infty} \frac{V_0(t) - R(t)}{V_0(t) + R(t)}$$

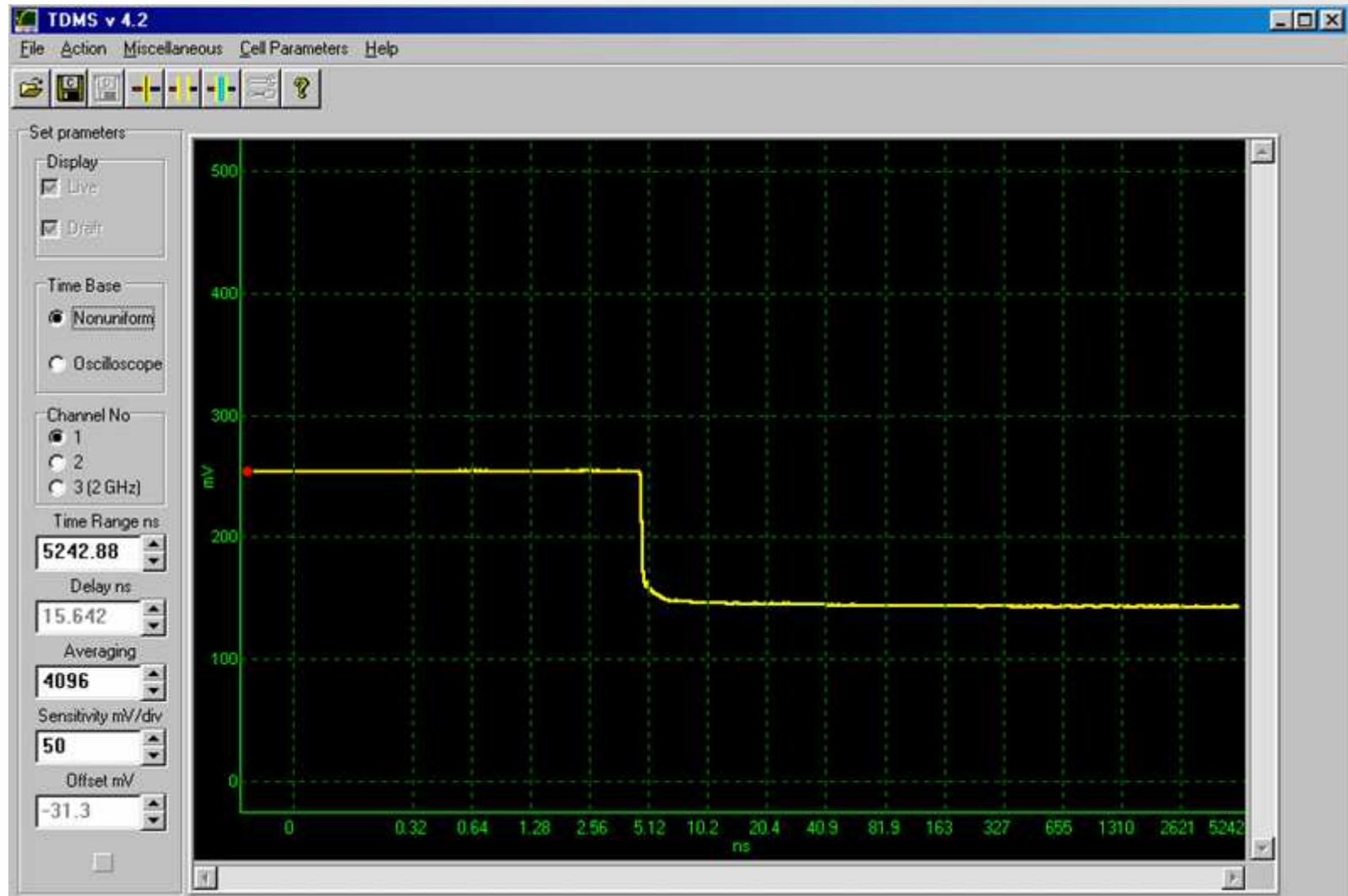
$$I_D(t) = \frac{1}{Z_0} \left\{ [V_0(t) - R(t)] - [V_0(t) + R(t)] \lim_{t \rightarrow \infty} \frac{V_0(t) - R(t)}{V_0(t) + R(t)} \right\}$$

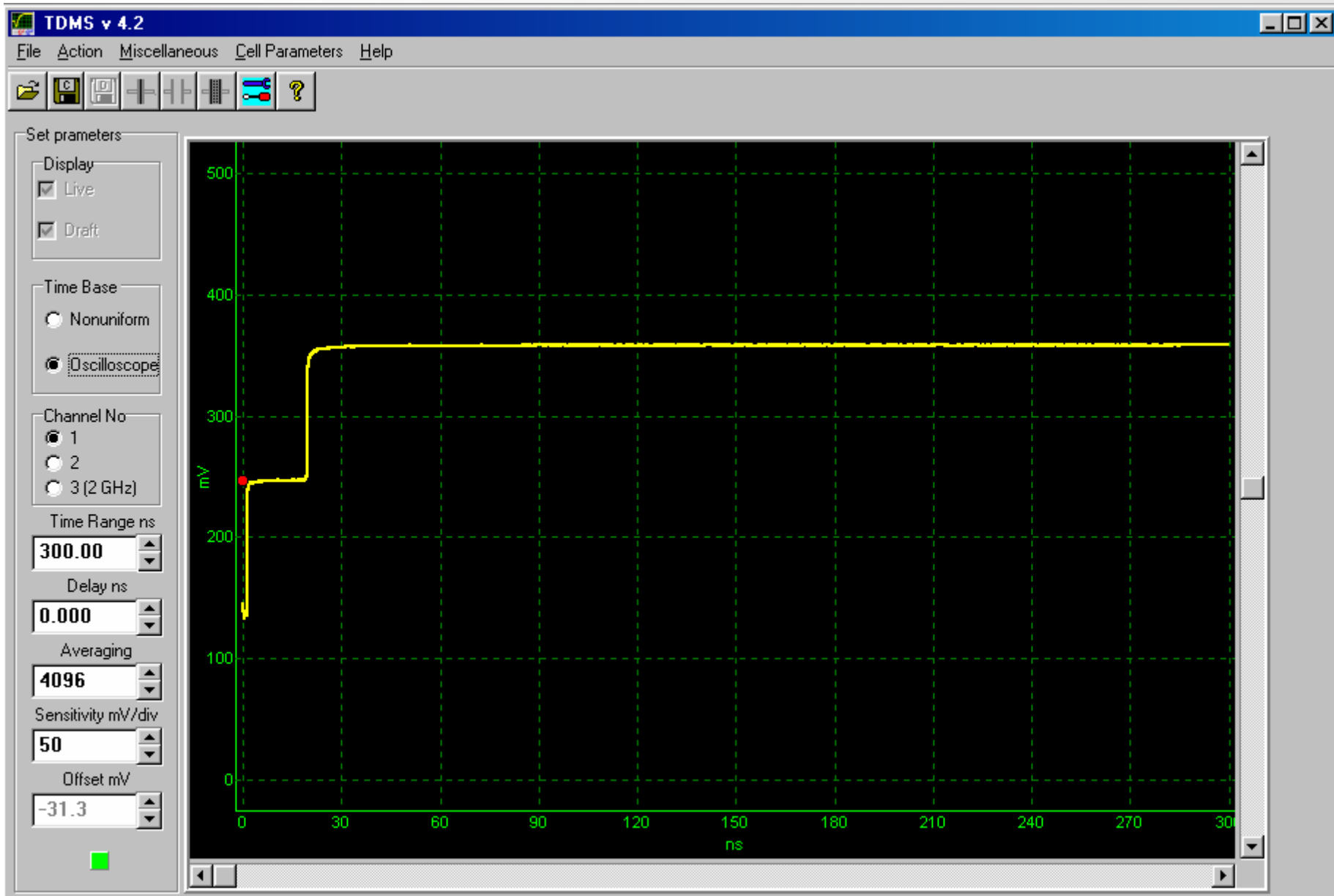
$$\sigma = \frac{\epsilon_0}{Z_0 C_0} \lim_{t \rightarrow \infty} \frac{V_0(t) - R(t)}{V_0(t) + R(t)}$$

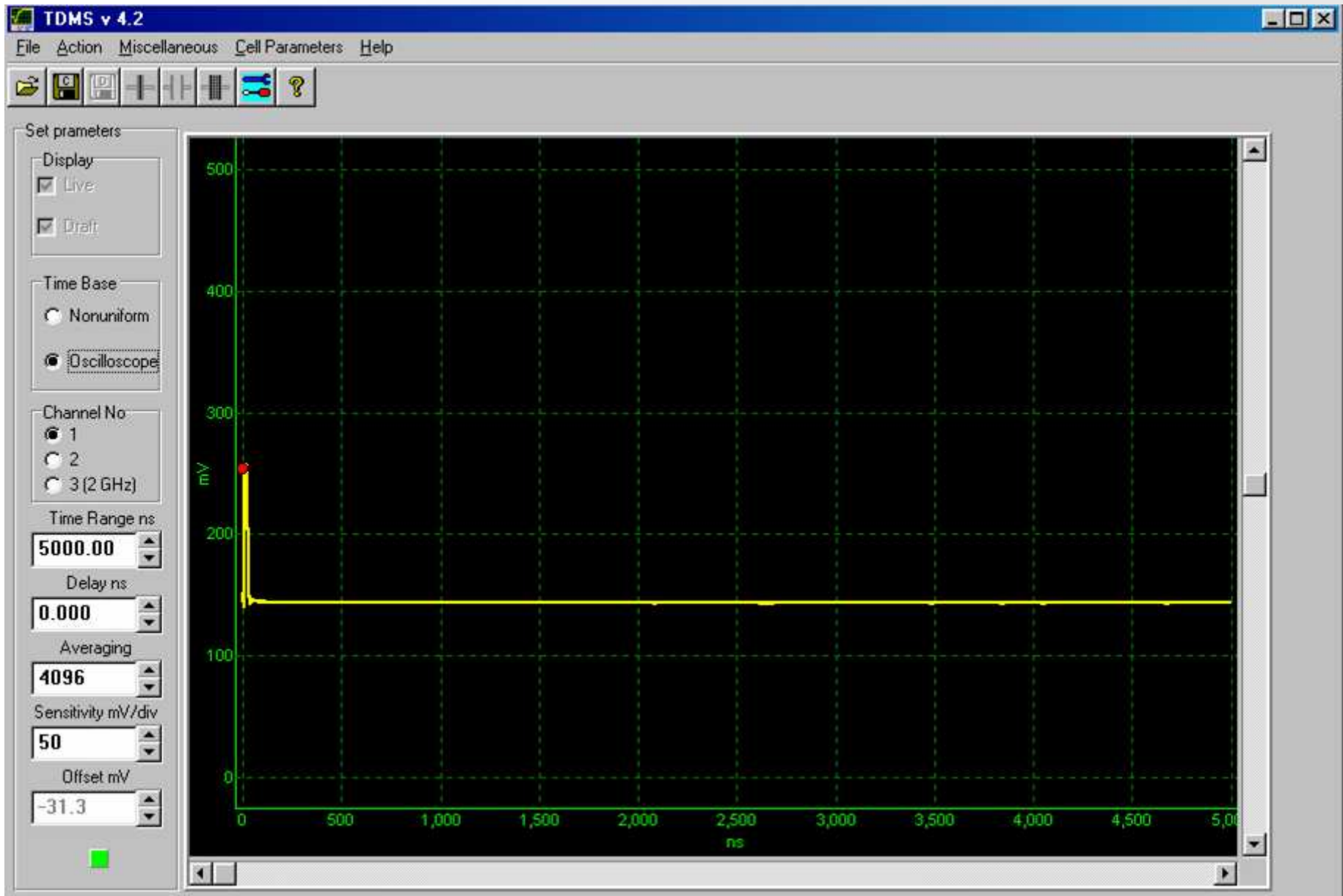
$$Q(t) = \int_0^t I_D(t') dt'$$











TDS Problems

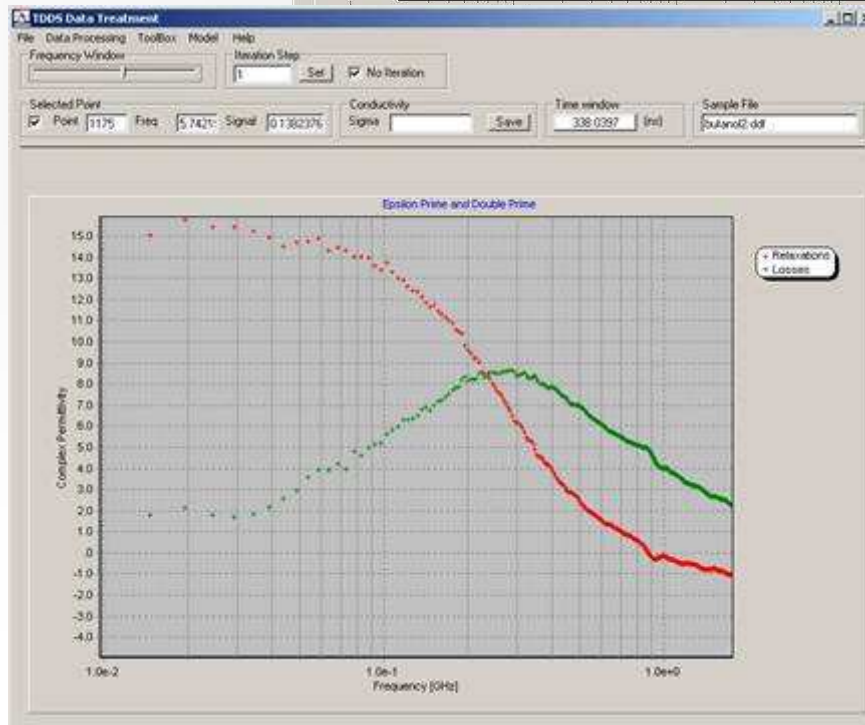
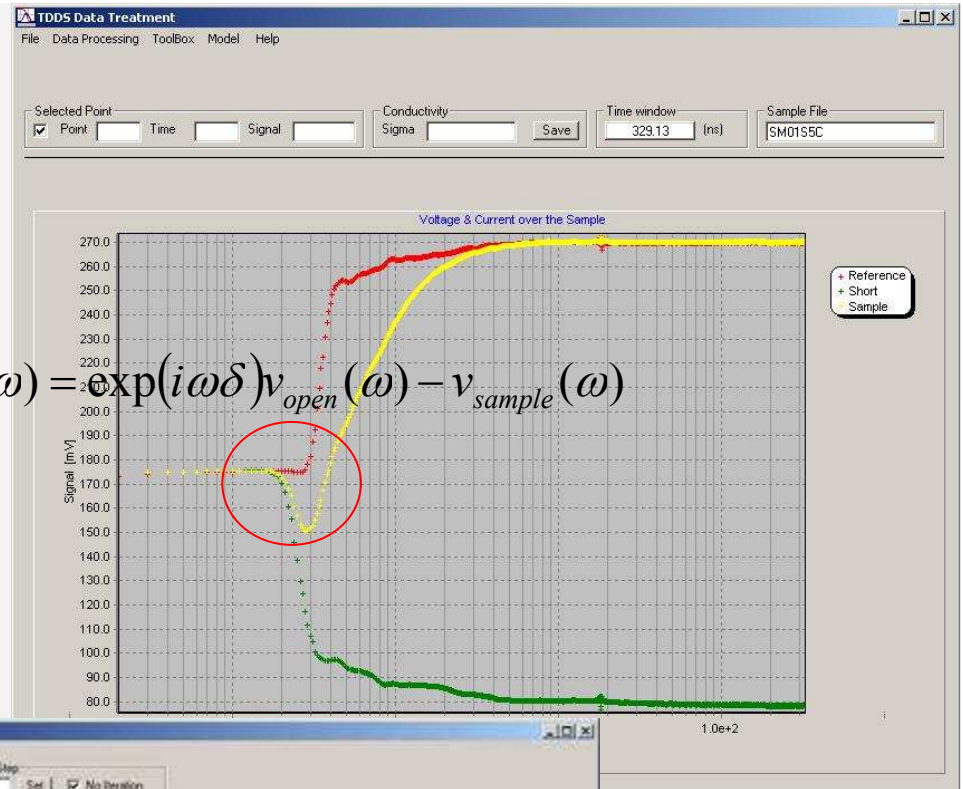
Mismatch of signals in time

$$I(t) \propto V_{open}(t + \delta) - V_{sample}(t) \longrightarrow i(\omega) = \exp(i\omega\delta)v_{open}(\omega) - v_{sample}(\omega)$$

Solution:

Time Shift in signal using the criteria

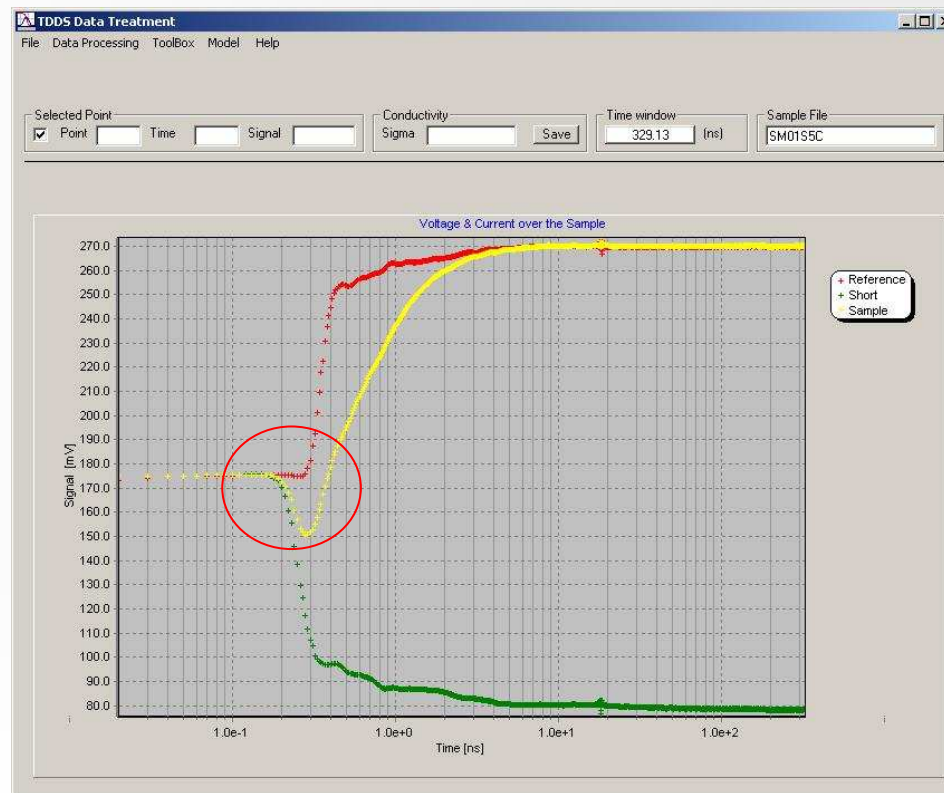
$$\left. \frac{dI}{dt} \right|_{t_{\max}} = 0: \left. \frac{d^2V}{dt^2} \right|_{t_{\max}} = 0$$



TDS Problems

Mismatch of signals in time

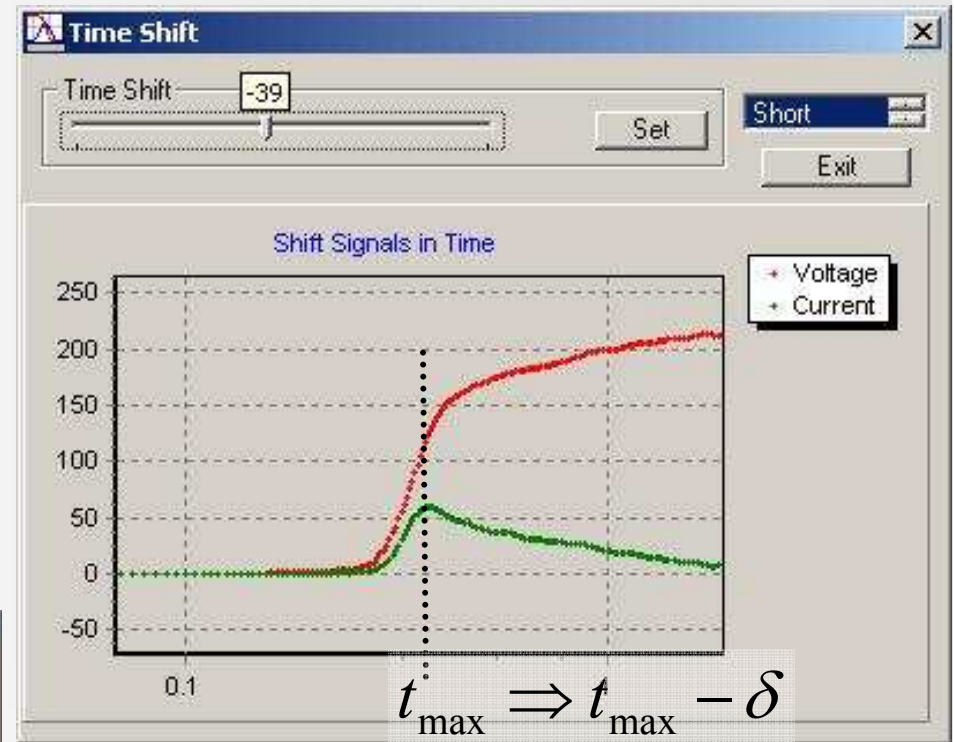
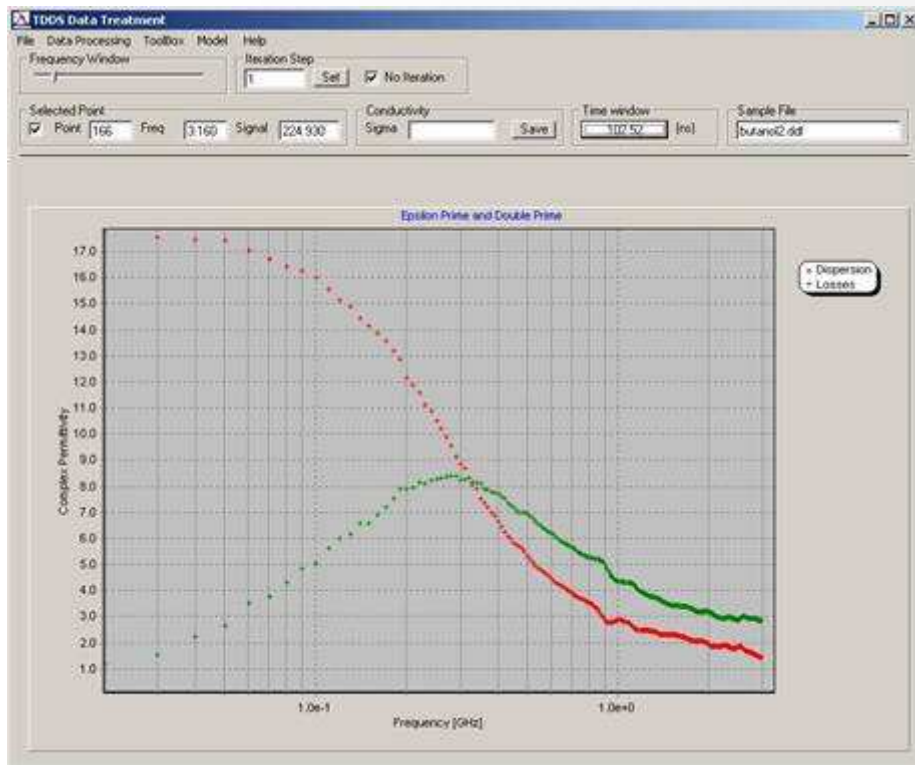
$$I(t) \propto V_{open}(t + \delta) - V_{sample}(t) \longrightarrow i(\omega) = \exp(i\omega\delta)v_{open}(\omega) - v_{sample}(\omega)$$



Solution:

Time Shift in signal using the criteria

$$\left. \frac{dI}{dt} \right|_{t_{\max}} = 0: \left. \frac{d^2V}{dt^2} \right|_{t_{\max}} = 0$$



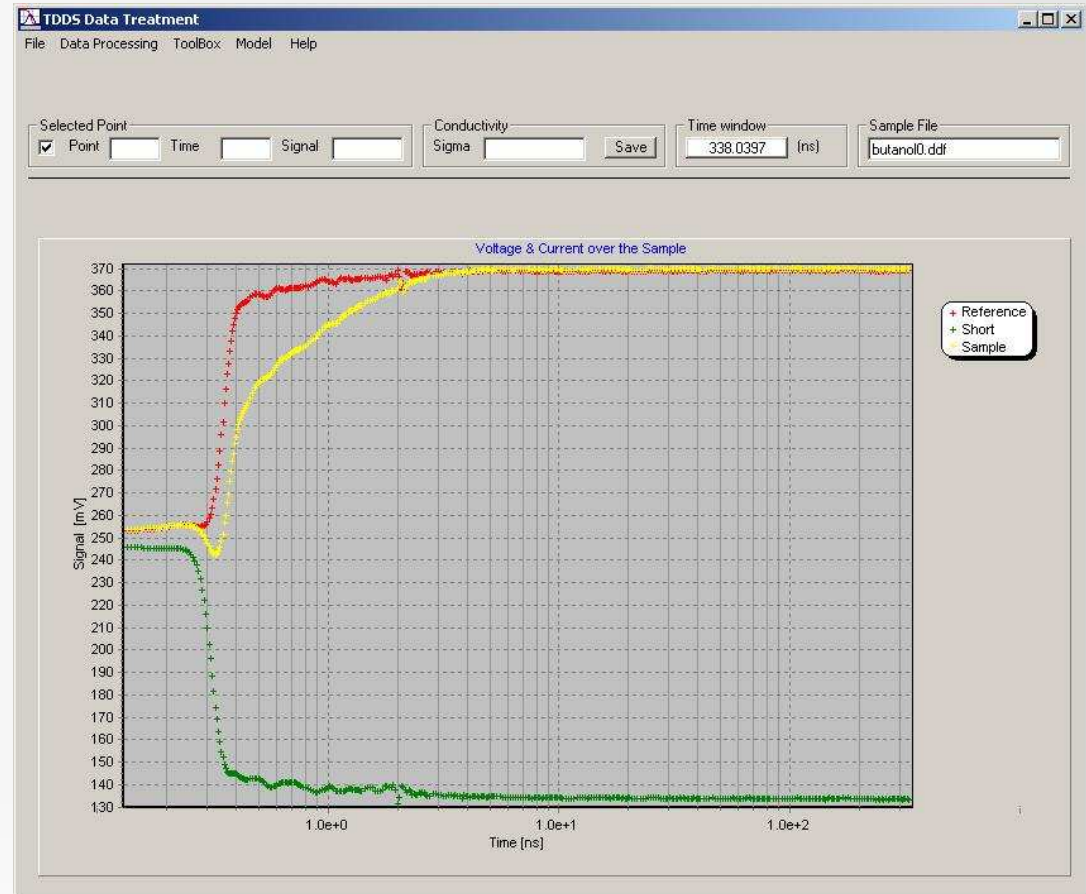
TDS Problems

Vertical Shift in signal

$$V_{short}(t) \rightarrow V_{short}(t) + \Delta$$

False Conductivity

$$\sigma = \lim_{t \rightarrow \infty} \frac{I(t)}{V(t)} \propto \frac{V_{open}(t) - V_{sample}(t)}{V_{sample}(t) - V_{short}(t) - \Delta}$$
$$\approx \sigma_0 \left(1 + \frac{\Delta}{V_{sample}(t) - V_{short}(t)} \right)$$



TDS Problems

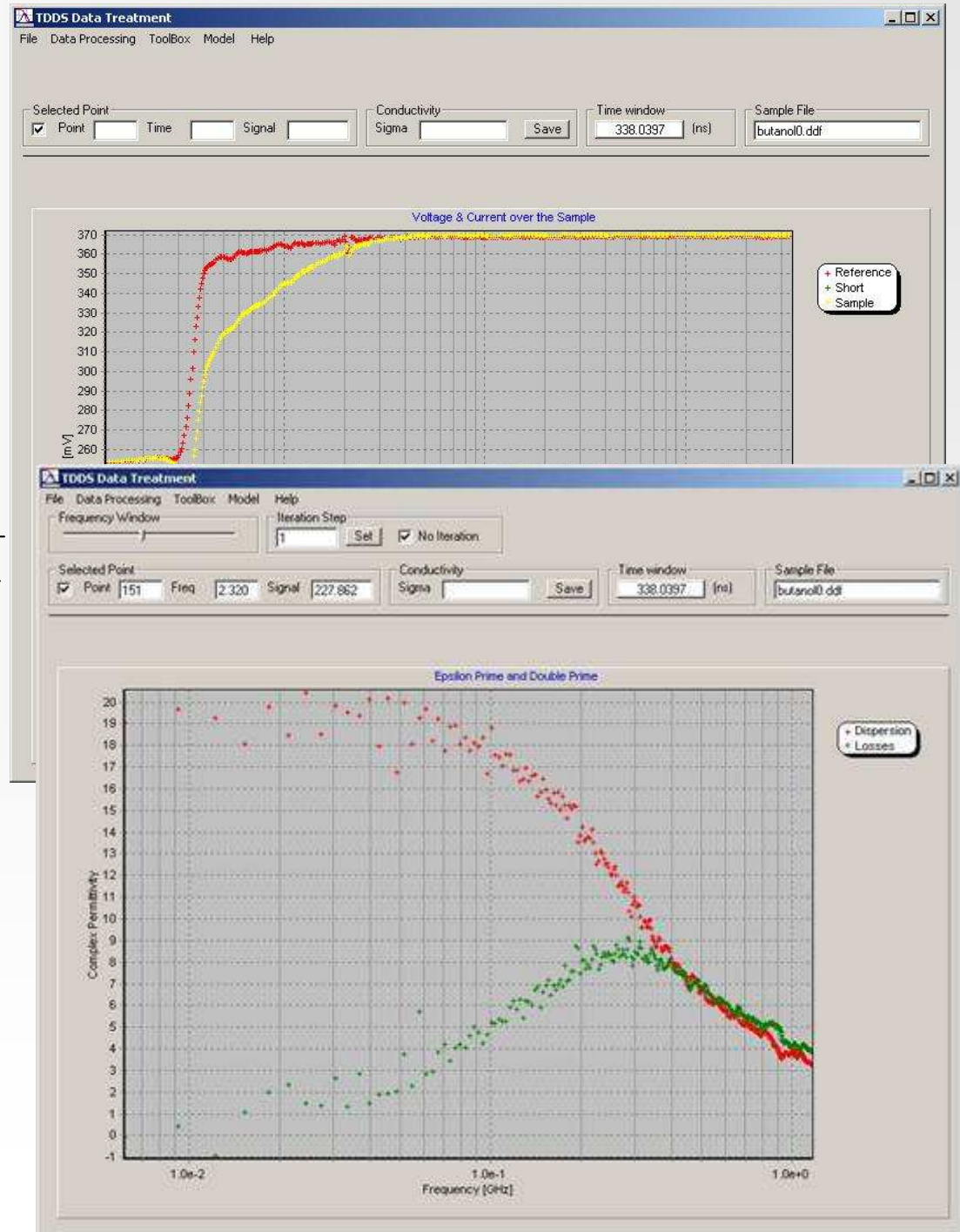
Vertical Shift in signal

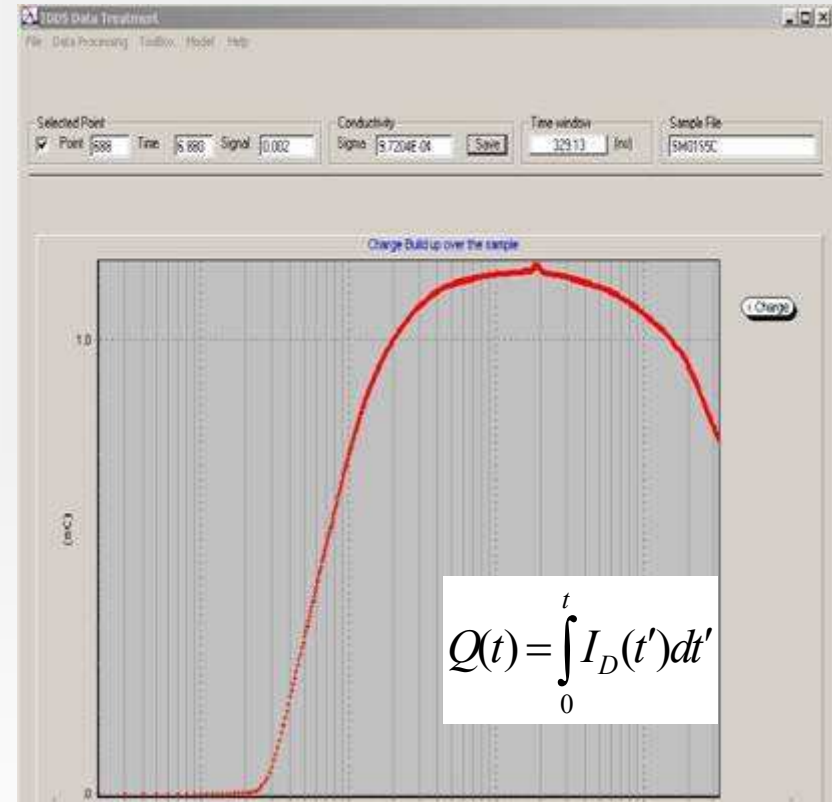
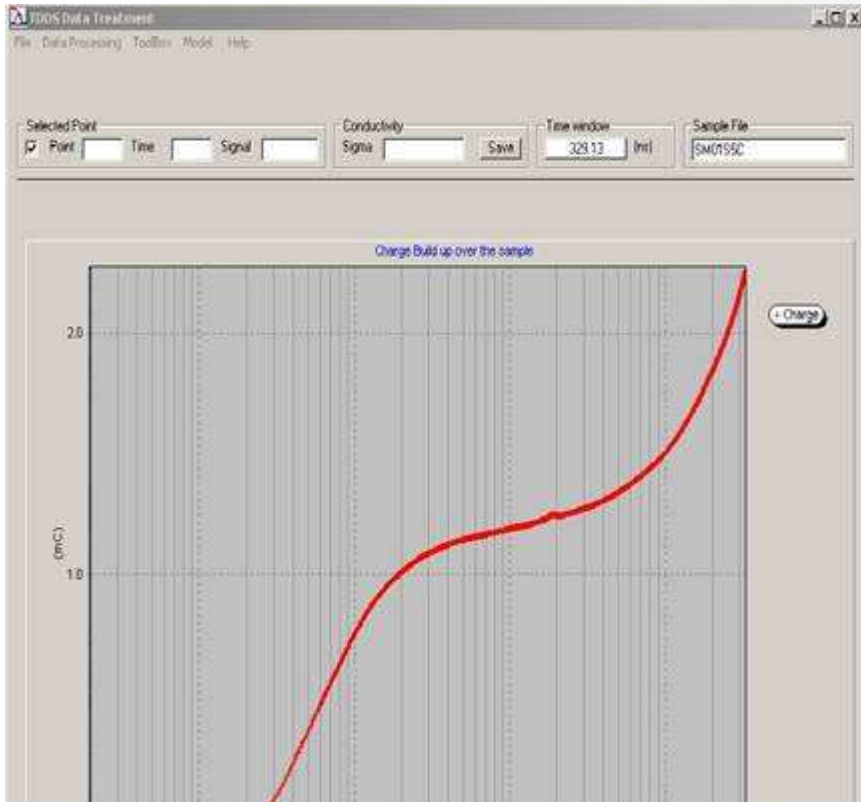
$$V_{short}(t) \rightarrow V_{short}(t) + \Delta$$

False Conductivity

$$\sigma = \lim_{t \rightarrow \infty} \frac{I(t)}{V(t)} \propto \frac{V_{open}(t) - V_{sample}(t)}{V_{sample}(t) - V_{short}(t) - \Delta}$$

$$\approx \sigma_0 \left(1 + \frac{\Delta}{V_{sample}(t) - V_{short}(t)} \right)$$





TDS Problems

Fourier Transforms – 2 main problems:

Truncation errors

Descrete data Using derivatives - $i\omega F(f(t)) = F(\dot{f}(t))$ Or Samoloon Algorithm

Attenuation factor based on cubic spline with end point correction

$$\frac{1}{T} \leq f \leq \frac{1}{2\Delta} [h(t)](f) = H_n \approx \Delta \sum_{k=0}^{N-1} h_k e^{-2\pi kn/N} + O(\Delta^2)$$

The frequencies of the FFT are, in essence, integral cycles on the interval. Therefore at high frequencies the summation can be smaller than the second order errors (previously ignored). A correction must be added.

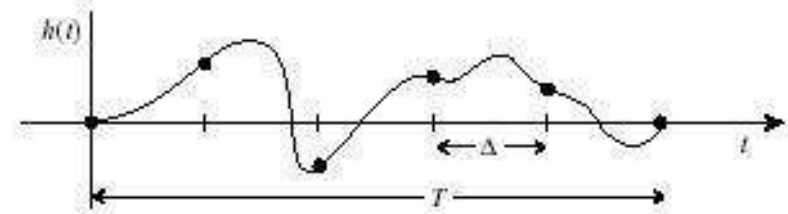
$$h(t) \approx \sum_{k=0}^M h_k \psi\left(\frac{t-t_k}{\Delta}\right) + \sum_{k=endpts} h_k \varphi_k\left(\frac{t-t_k}{\Delta}\right)$$

$$W(2\pi n/N) = \int_{-\infty}^{\infty} ds e^{i2\pi n/N} \psi(s)$$

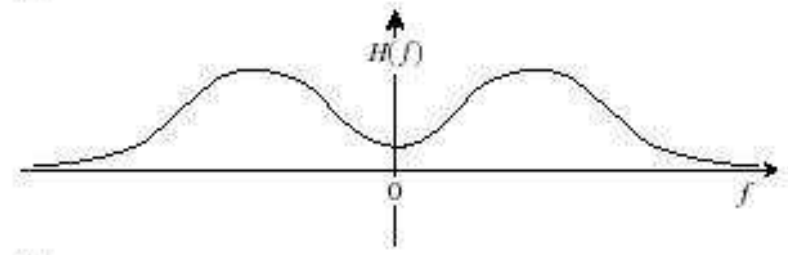
$$\alpha_k(2\pi n/N) = \int_{-\infty}^{\infty} ds e^{i2\pi n/N} \varphi_k(s-k)$$



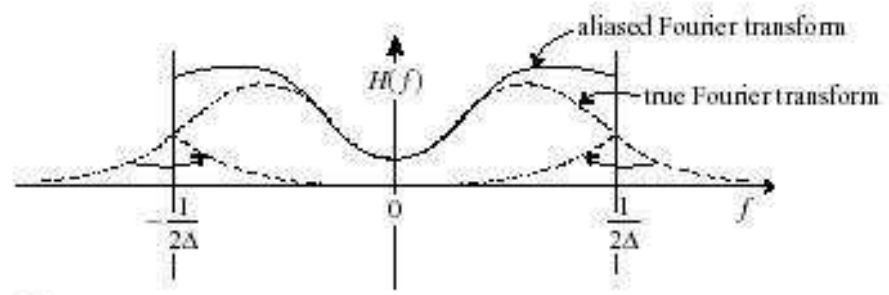
$$F[h(t)] = H_n \approx \Delta e^{i2\pi fa} \left(W(2\pi n/N) \sum_{k=0}^M h_k e^{ik2\pi n/N} + \sum_{k=endpts} h_k \alpha_k(2\pi n/N) \right)$$



(a)

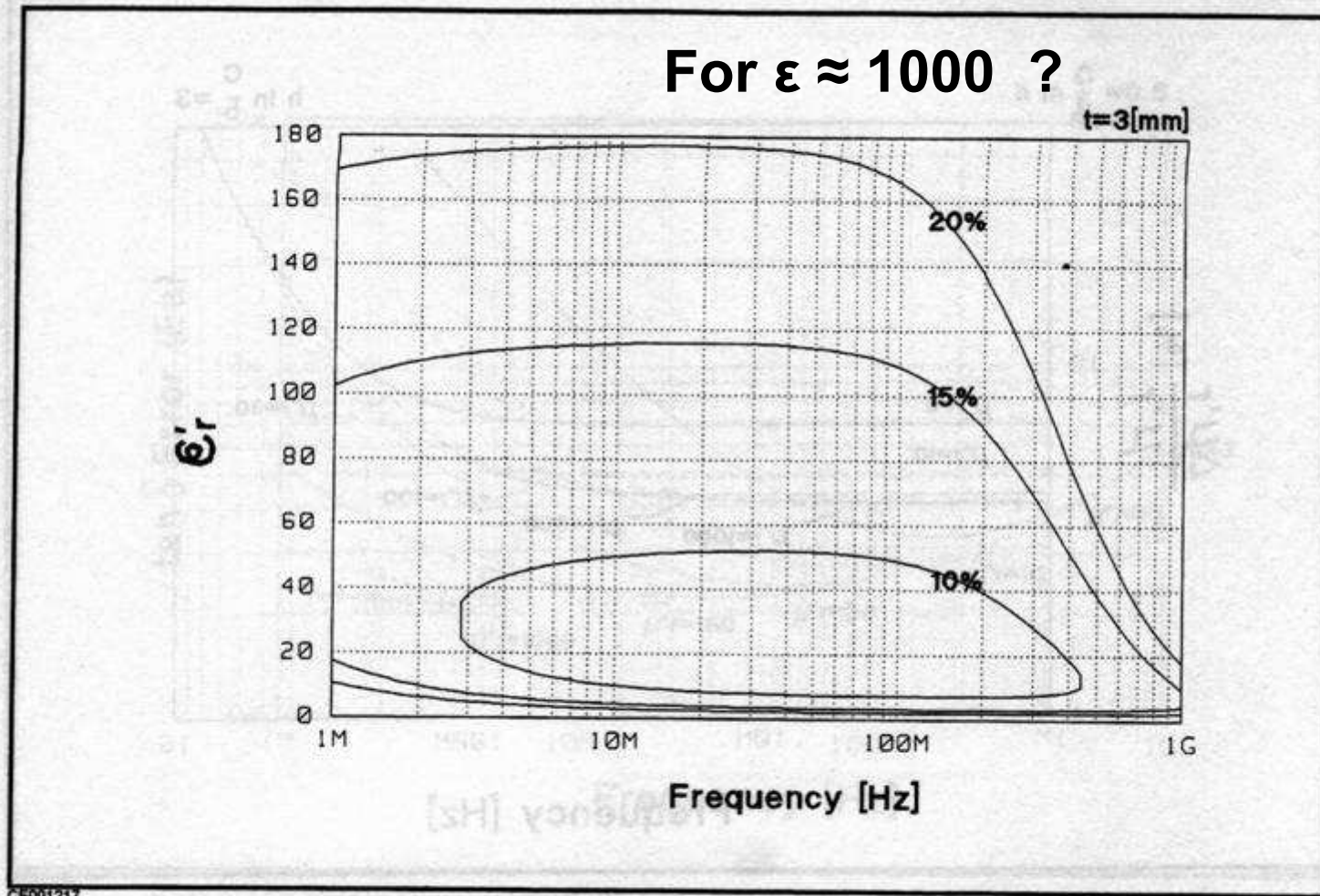


(b)



(c)

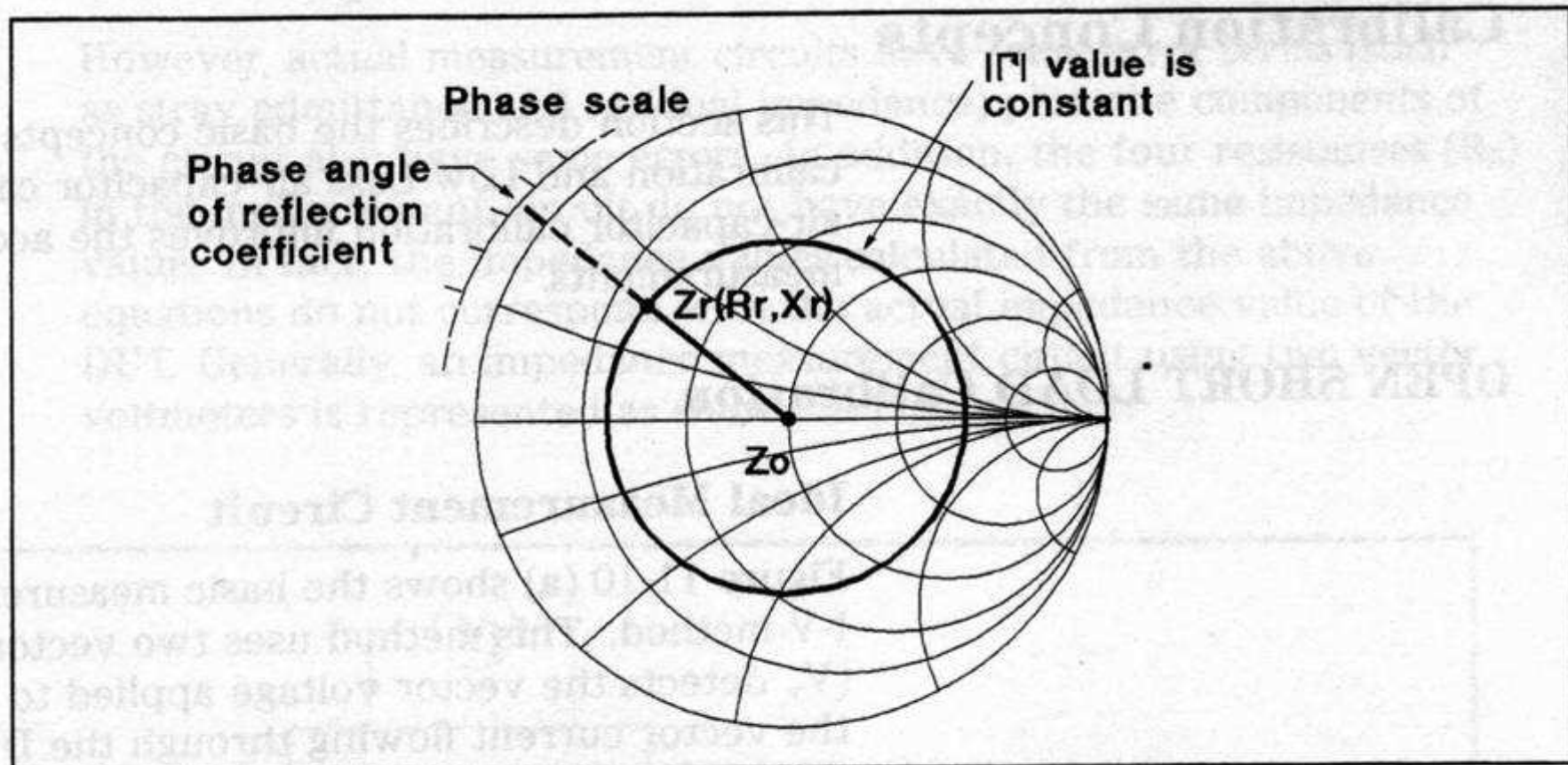
Frequency Impedance Analyzers



CE001217

Figure 12-24.
Typical Permittivity Measurement Accuracy (ϵ_r v.s. Frequency, @thickness = 3 mm)

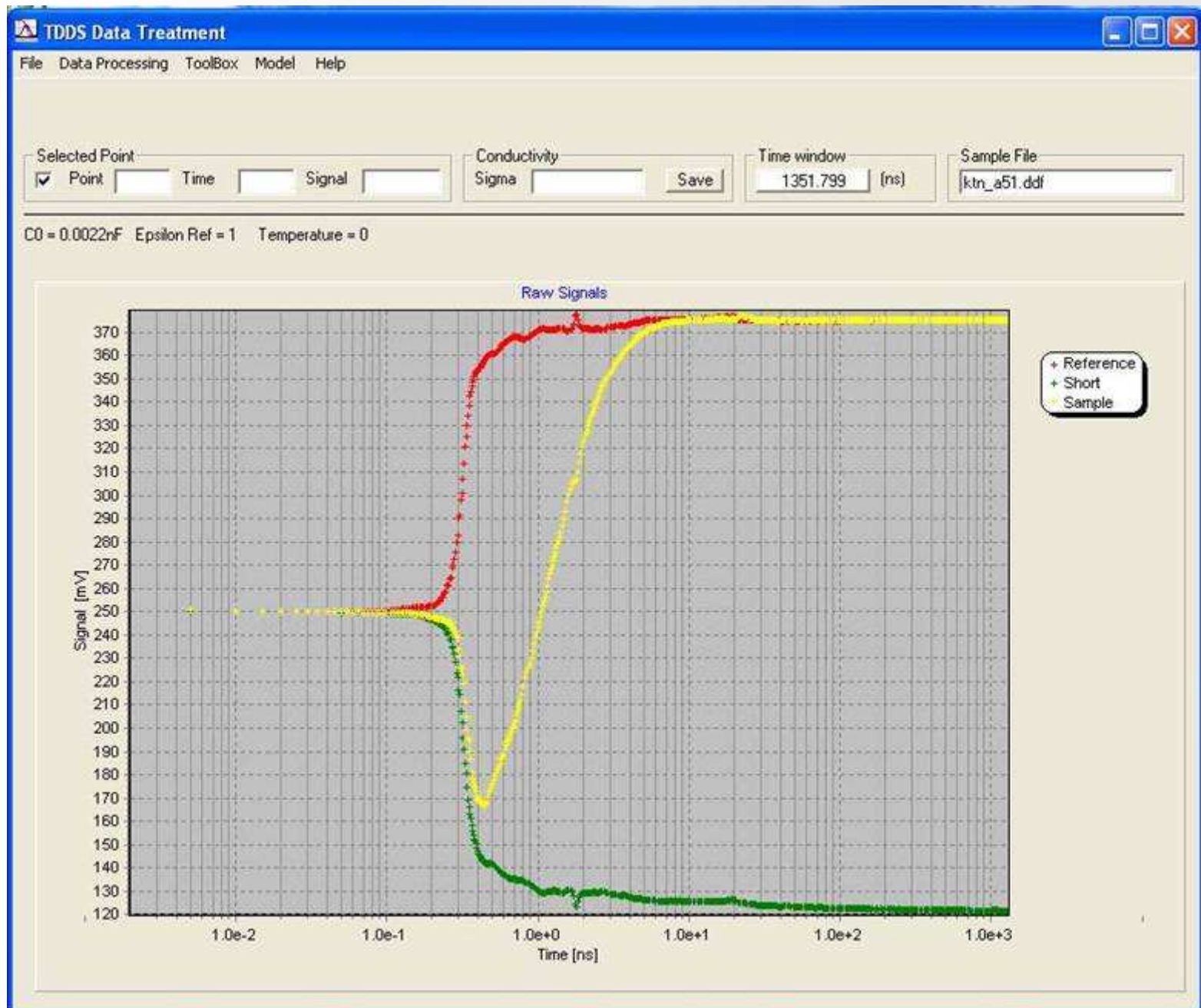
Smith Chart



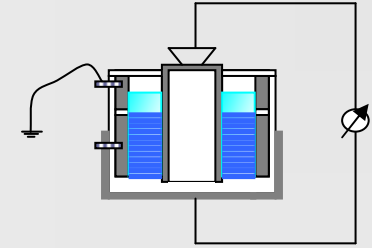
C6011008

Figure 11-8. Impedance Read-out

TDDS



Sample Holders in BDS and TDS Measurements



Solids

Low Frequency ($<10^7$ Hz)

Liquids

Contacts

Small angle scattering

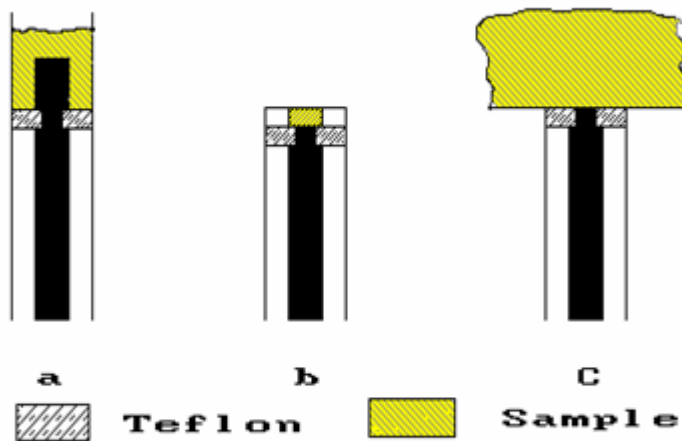
Electrode polarization

Polish electrodes

P, V conditions

Slide electrodes, open ended coaxial or mesh electrodes

High Frequency ($>10^8$ Hz)



$$R \leq \frac{0.24\lambda}{2\pi\sqrt{\epsilon}}$$

$$\lambda > \pi\sqrt{\epsilon(b+a)}$$

The 3 electrode sample cell

How to account for leakage from a samples cell during an extended measurement?

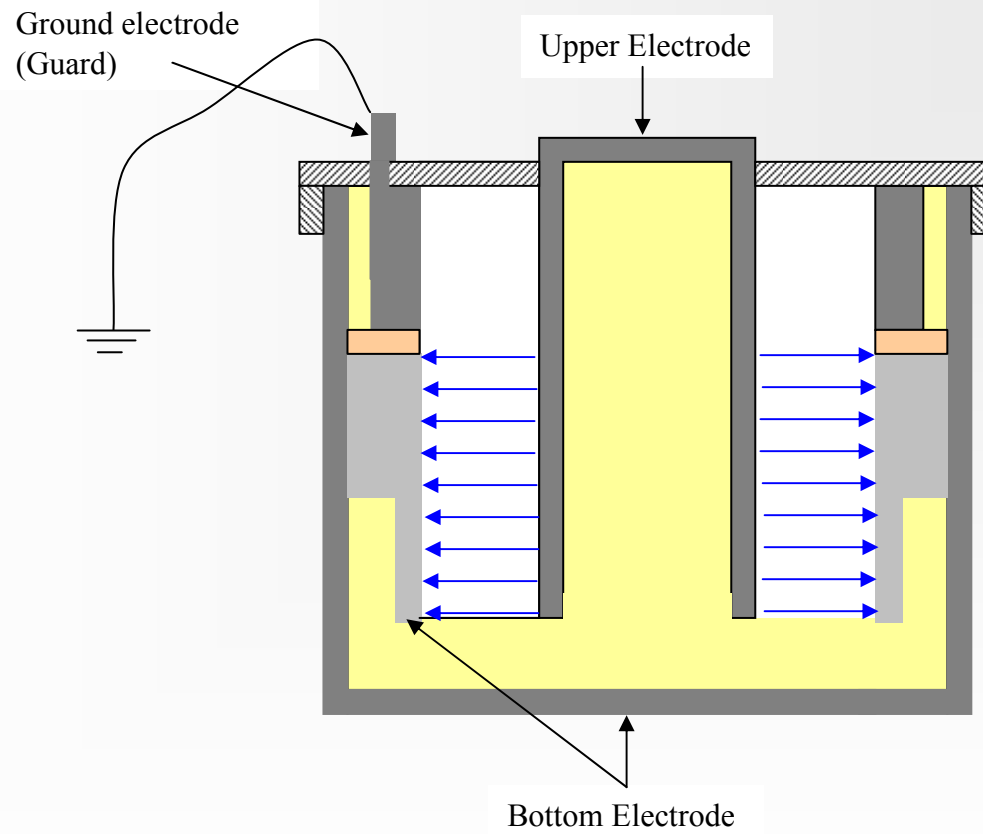
How to maintain a constant pressure during a wide temperature regime?

How to establish a fixed geometry for a liquid?

How to overcome expansion problems for liquids?

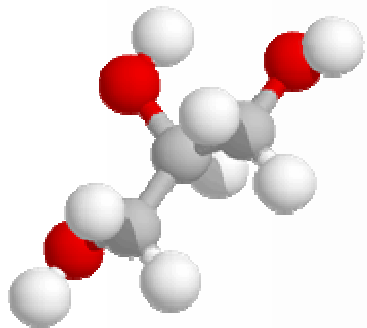
How to ensure that there will be no air pockets between electrode and sample?

$$C_0 = \frac{2\pi\epsilon_0}{\ln\left(\frac{b}{a}\right)}$$

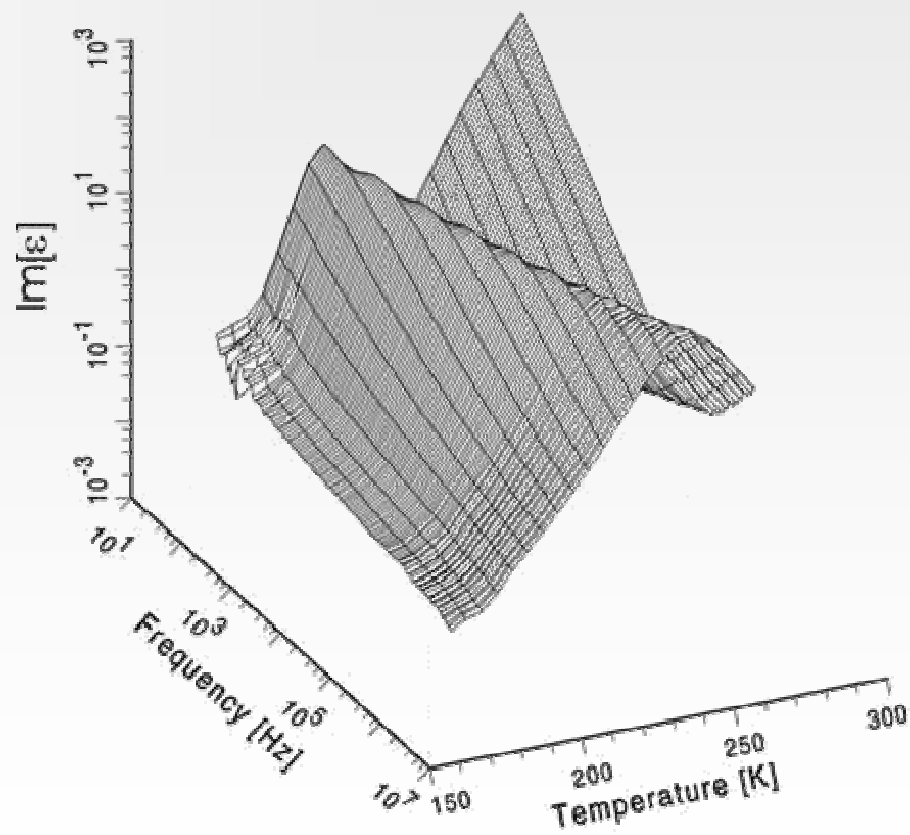
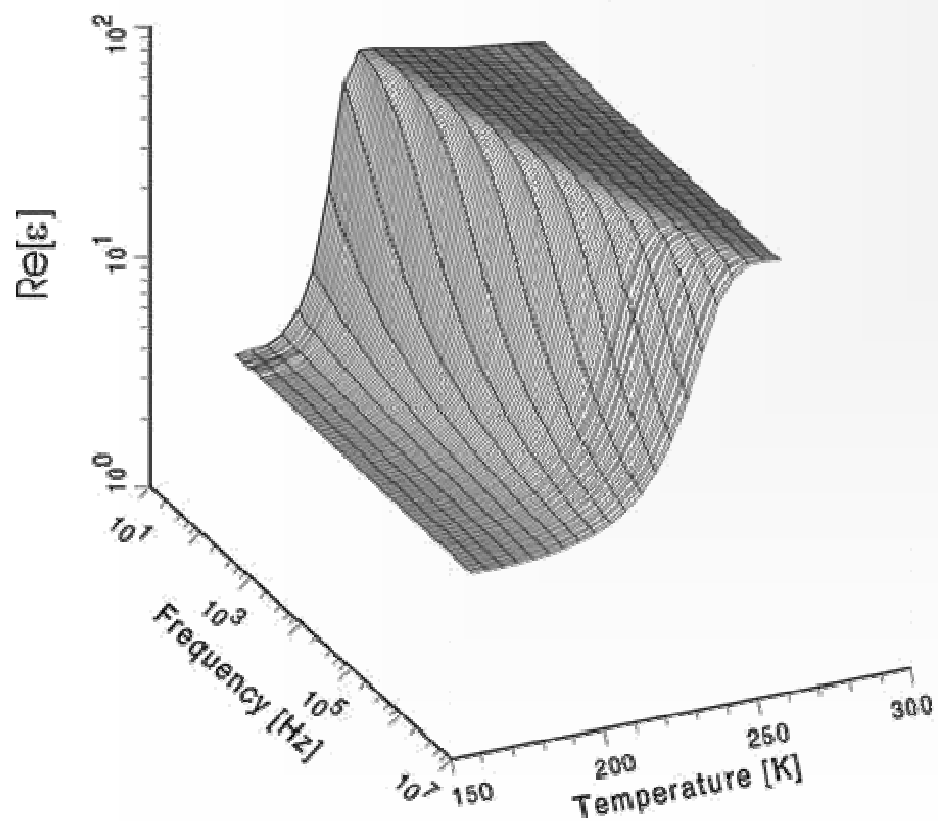


$$C_0 = \frac{2\pi\epsilon_0}{\ln\left(\frac{b}{a}\right)}$$

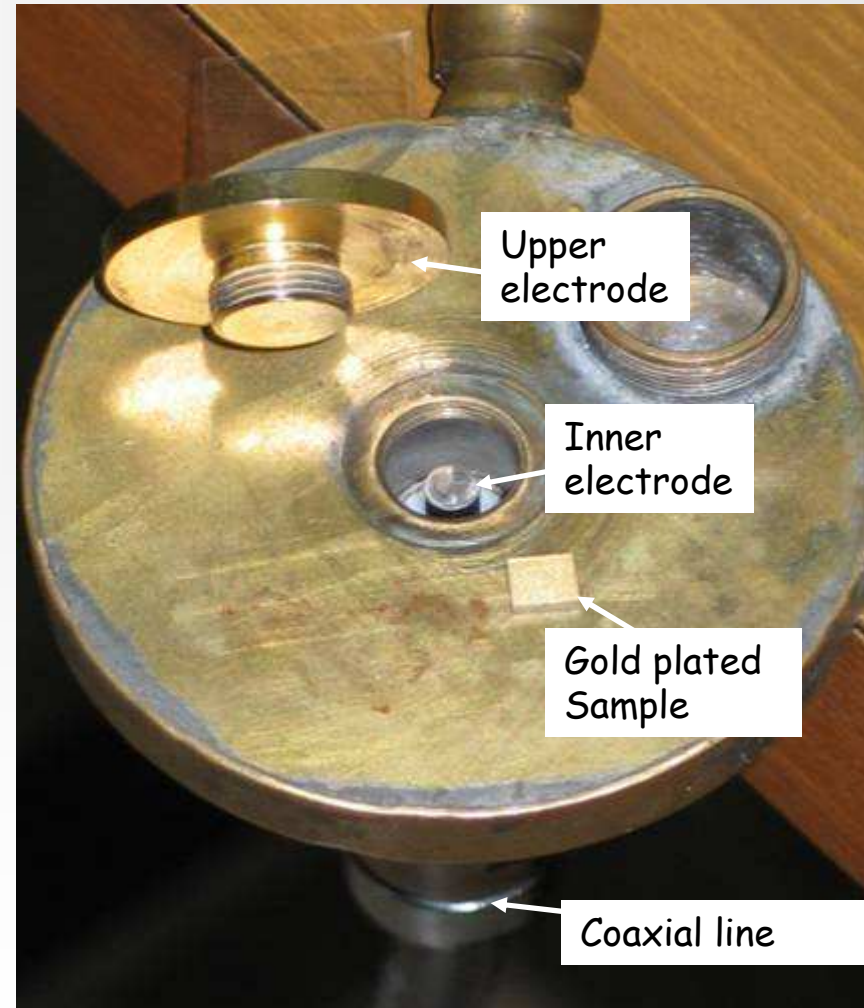
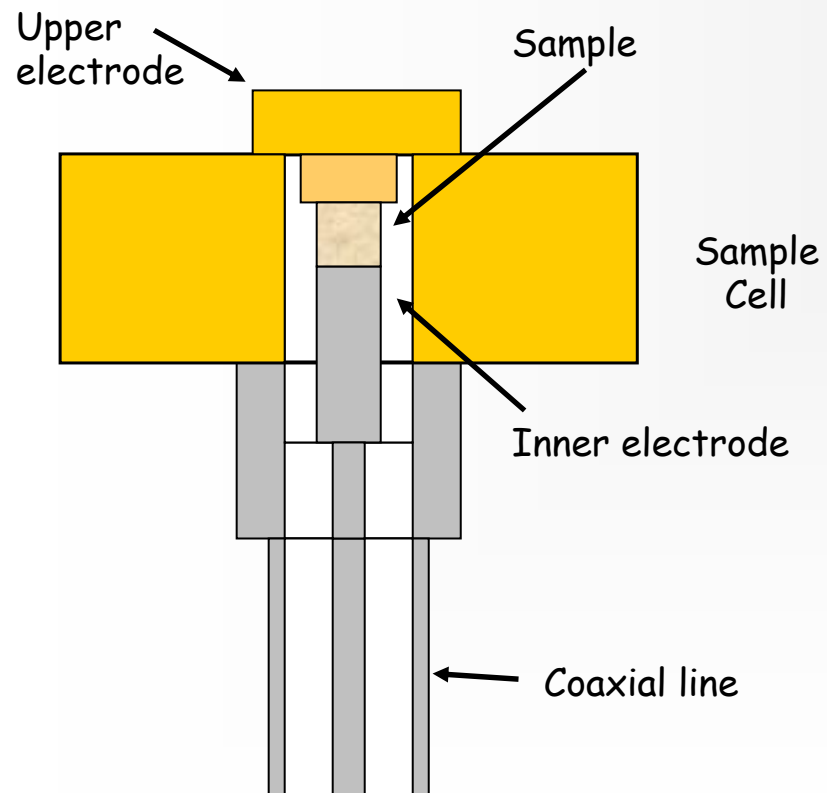




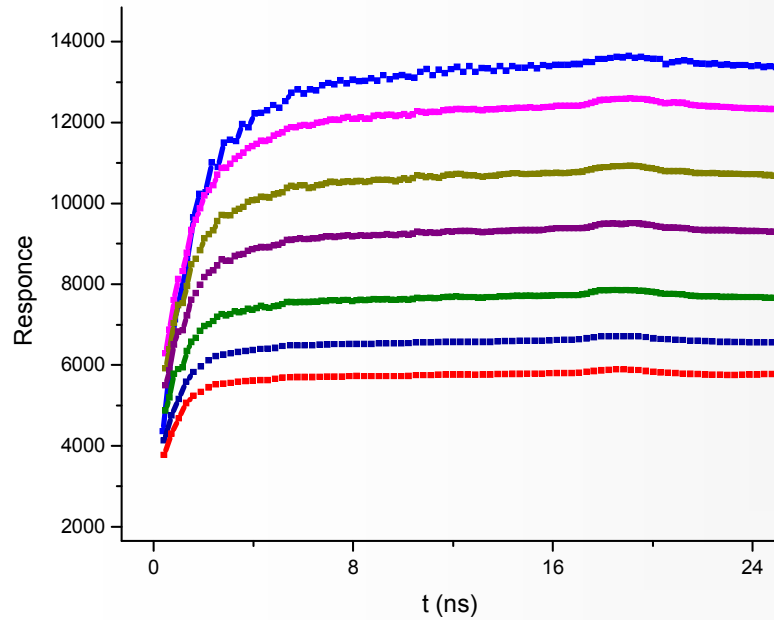
DS of Glycerol



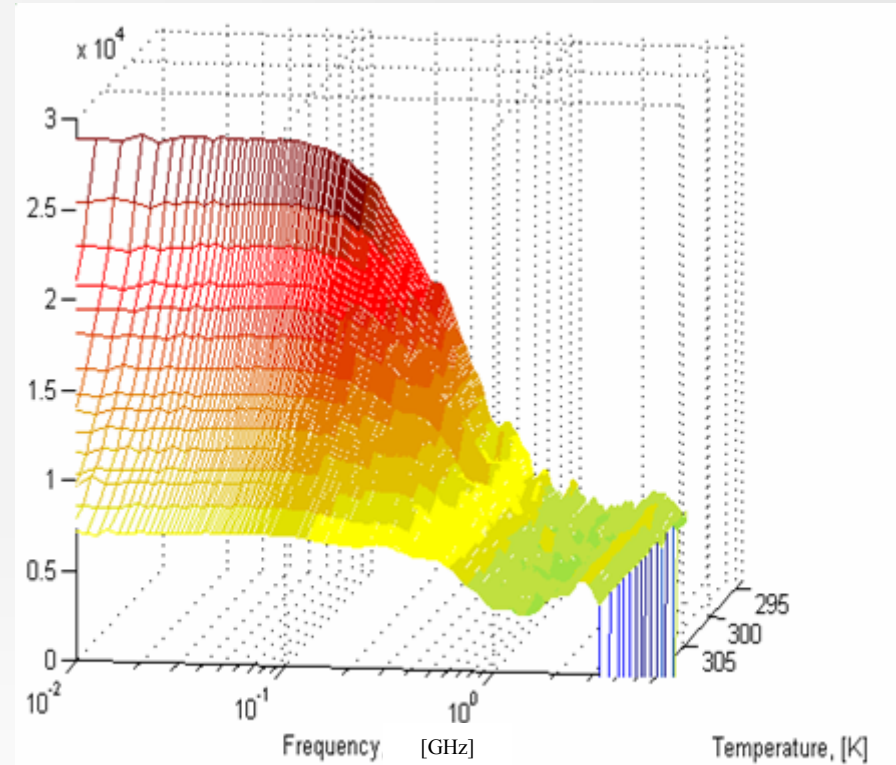
TDDS Sample Cell



Typical Results



*Time domain
Dielectric response
function: $\Phi(t)$*



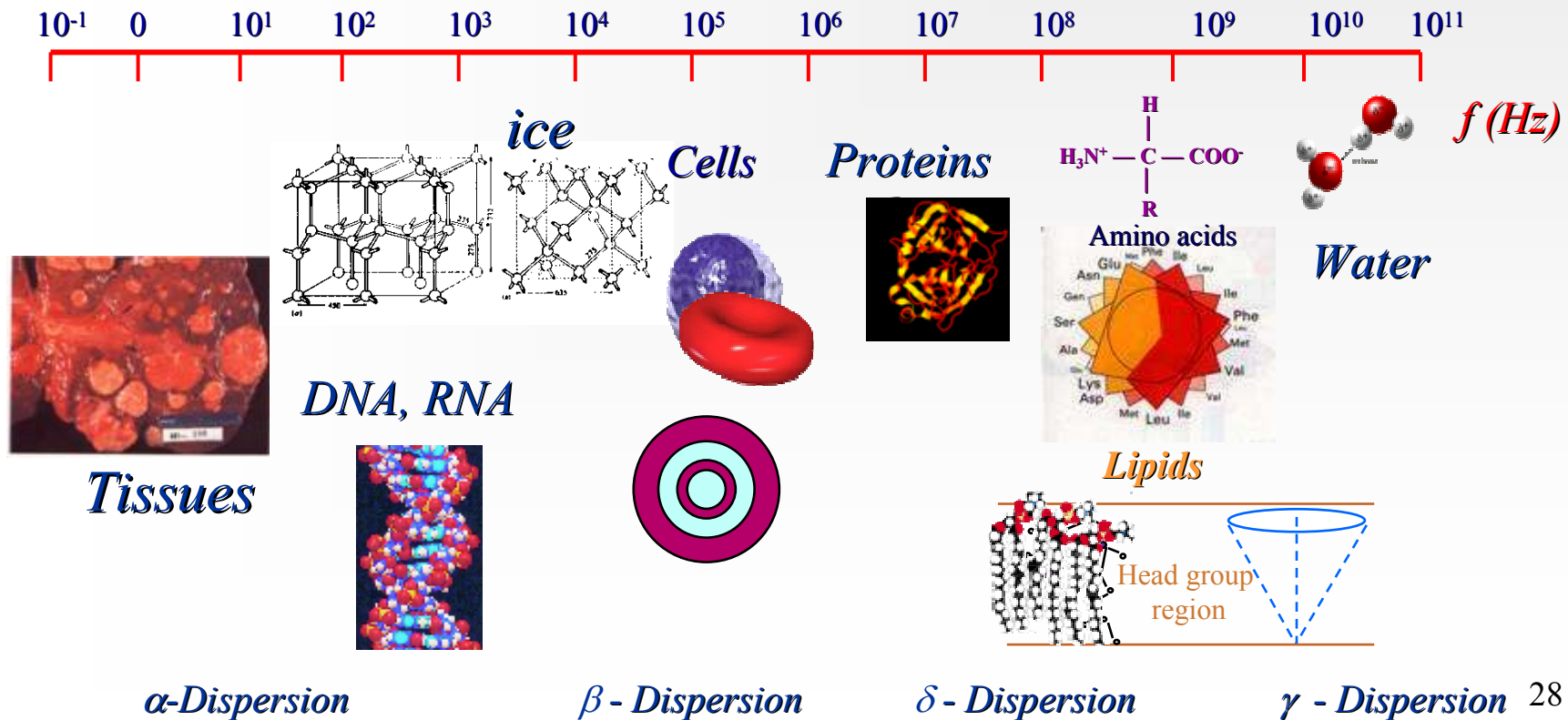
*Frequency domain
Spectrum of dielectric
permittivity: $\varepsilon'(\omega)$*

Dielectric Response in Biological Systems

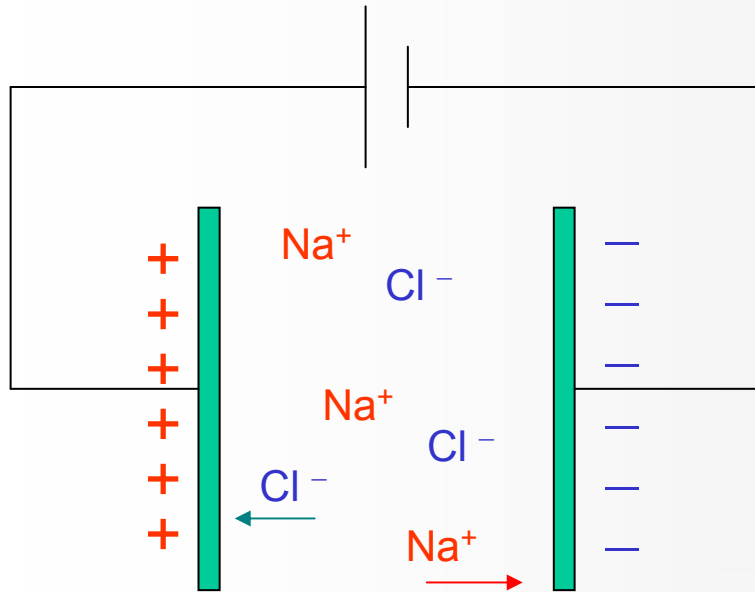
Dielectric spectroscopy is sensitive to relaxation processes in an extremely wide range of characteristic times ($10^5 - 10^{-11}$ s)

Broadband Dielectric Spectroscopy

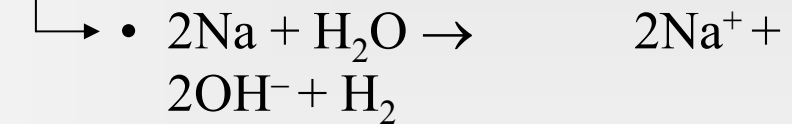
Time Domain Dielectric Spectroscopy



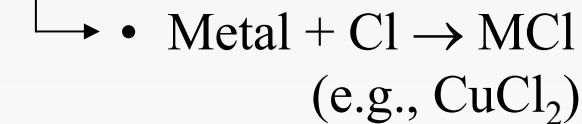
dc conductivity



- In the cathode



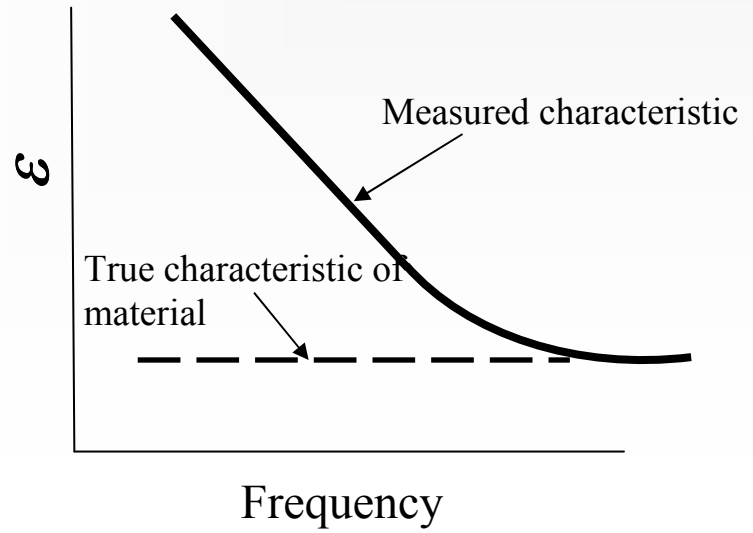
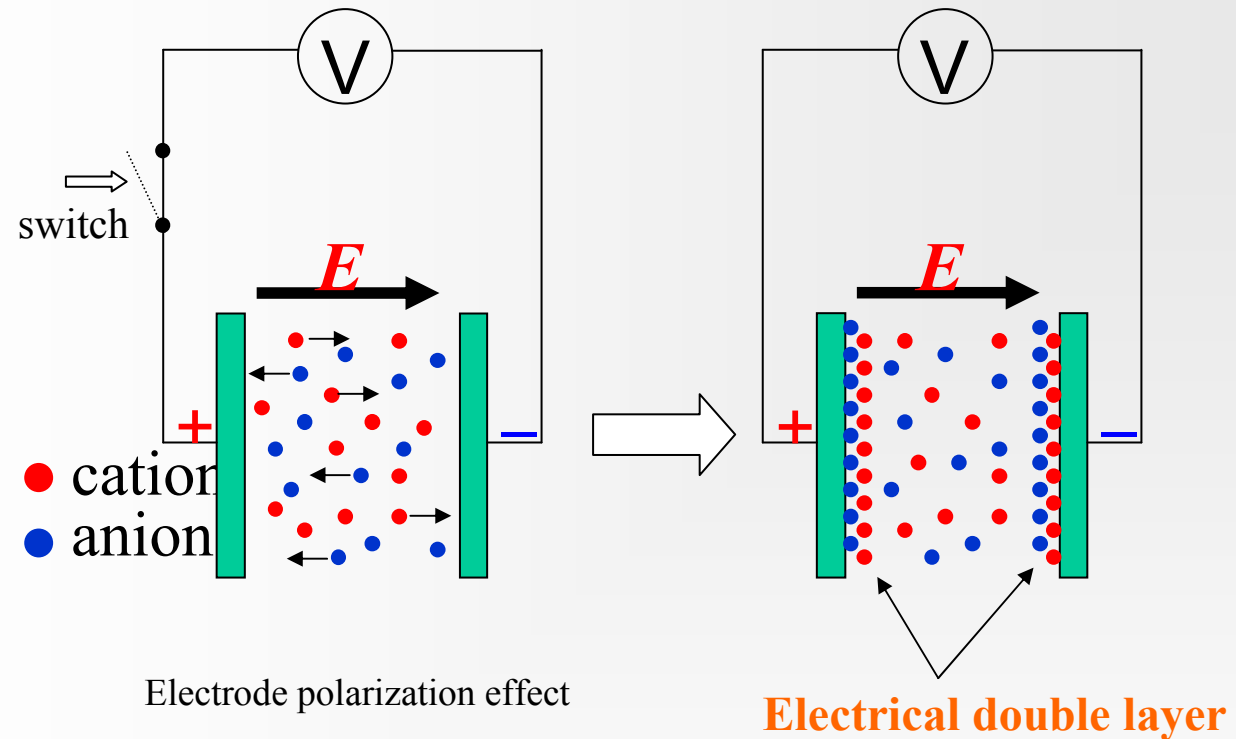
- In the anode



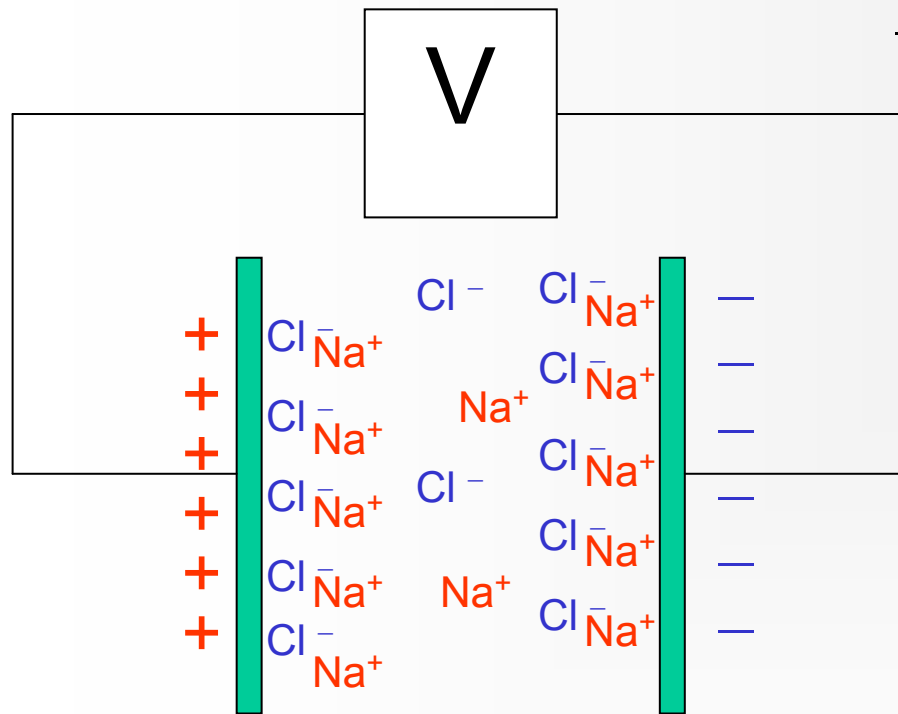
Problems are:

increase of **pH**, birth of **gases** and **corrosion** of the electrode.
These are significant if current is not small.

What is the electrode polarization?



Electrode Polarization



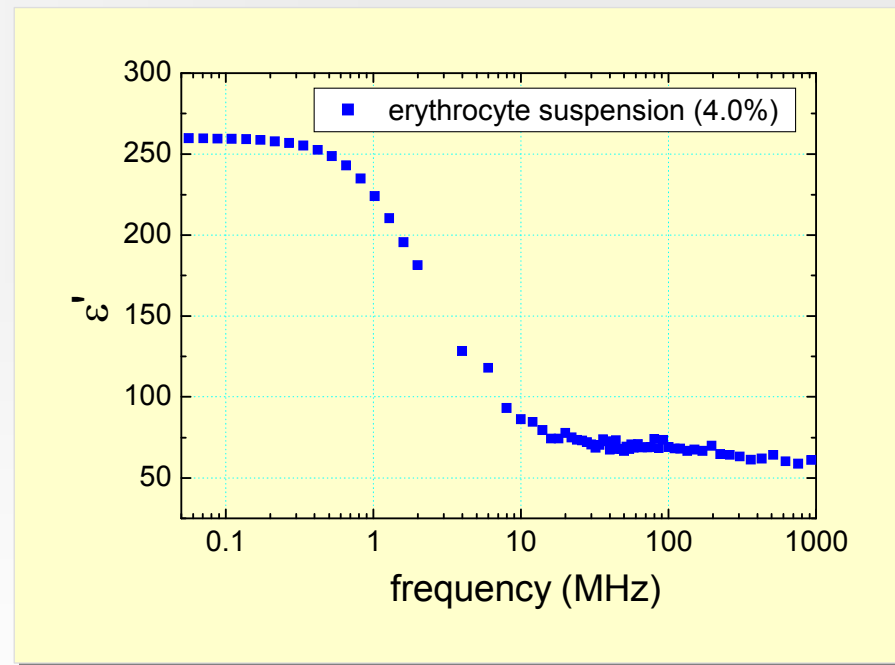
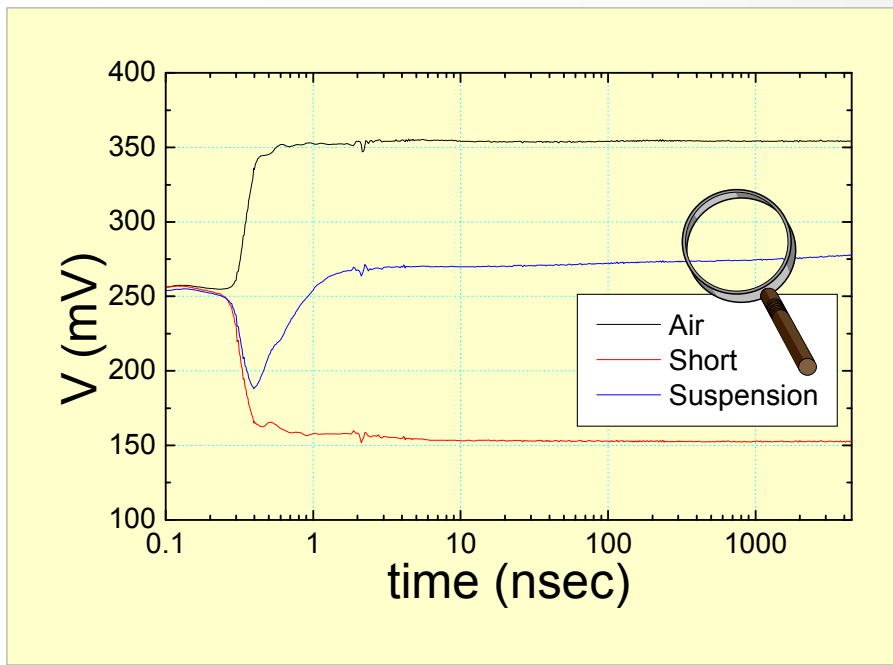
Electric double layer

– List of possibilities of the corrections

- Substitution method
- Single exponent method (F3)
- Fractal method (F2)
- Blocking electrode
- Electromagnetic induction method
- Combination of plate-capacitance and fractal method
- Change of the distance (d) of plate-capacitance
- Others?

Data treatment from time domain to frequency domain

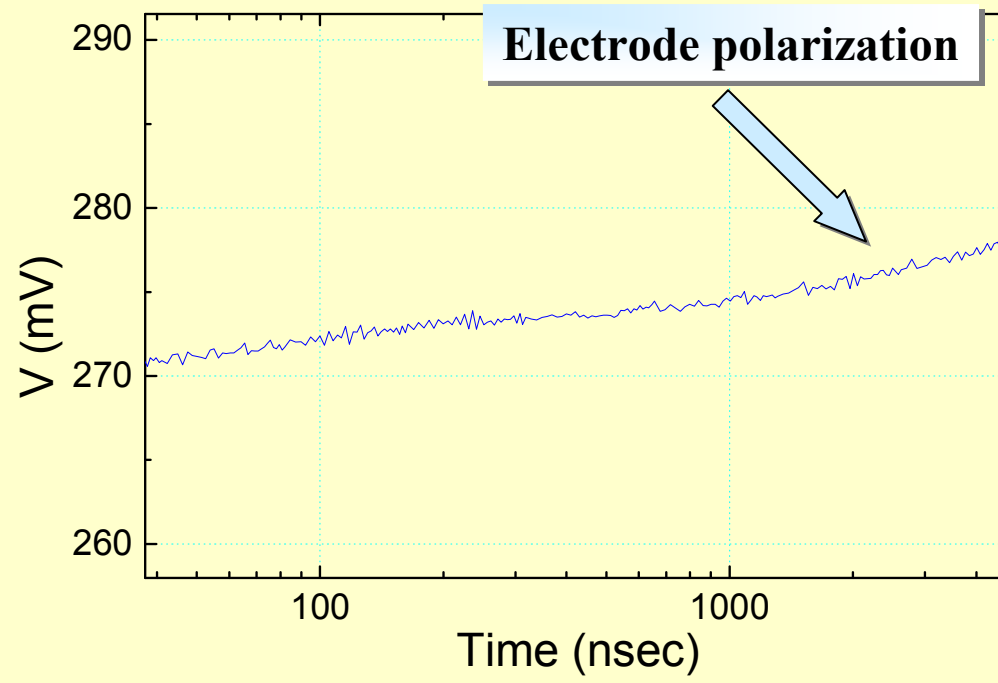
E.g., human erythrocyte suspension



Signals in time domain

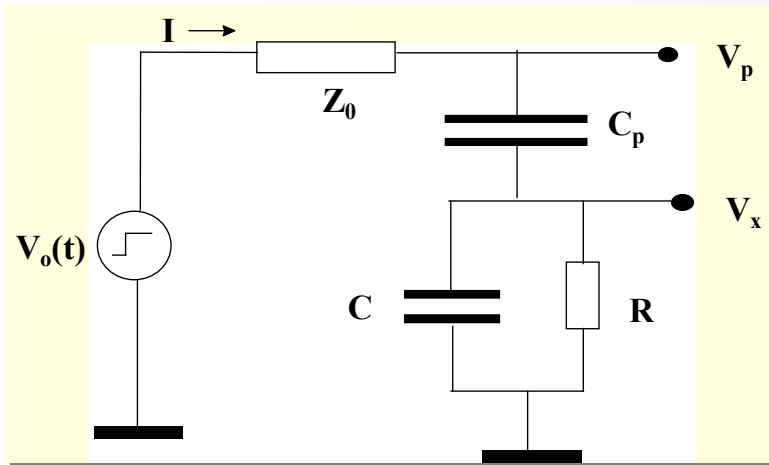


Dielectric spectrum in frequency domain



Single exponent method

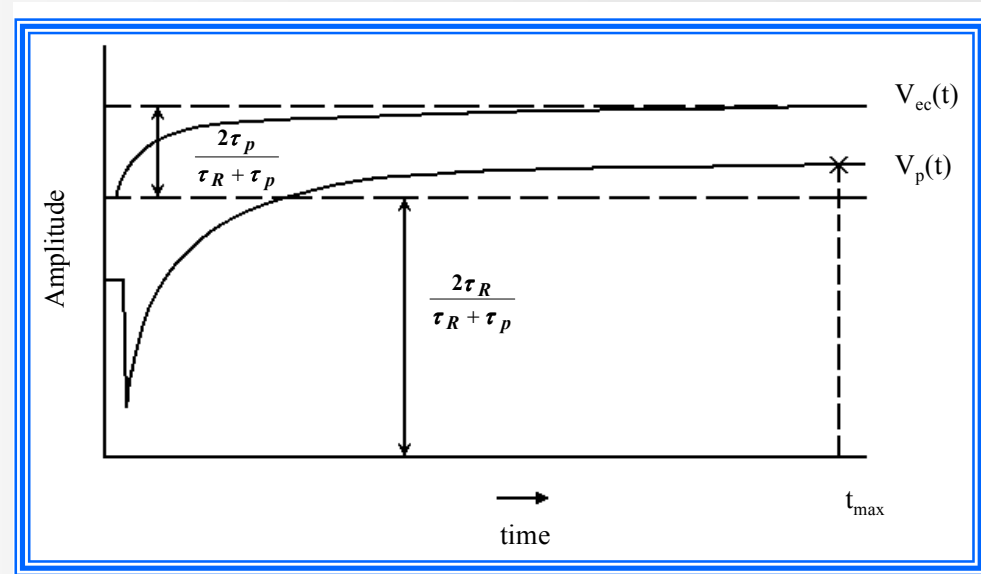
for low conductive sample



$$I(s) = V_0(s) \frac{(s\tau_0 + 1)sC_p}{(s\tau_0 + 1)(s\tau_p + 1) + s\tau_R}$$

$$V_p(s) = V_0(s) \frac{s(\tau_R + \tau_0) + 1}{(s\tau_0 + 1)(s\tau_p + 1) + s\tau_R}$$

$$\tau_p = Z_0 C_p, \quad \tau_R = RC_p, \quad \tau_p = RC, \quad s = j\omega$$



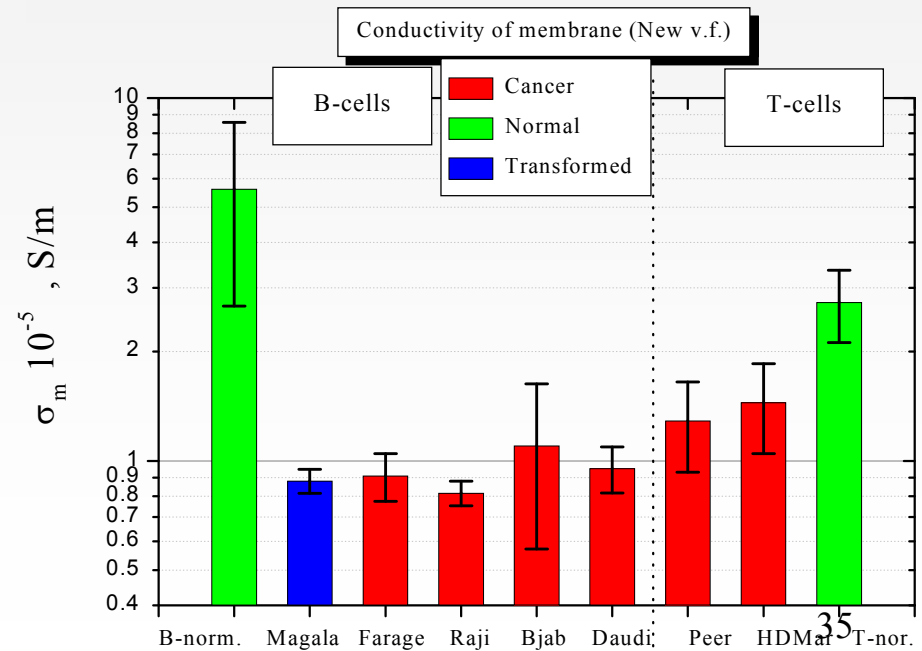
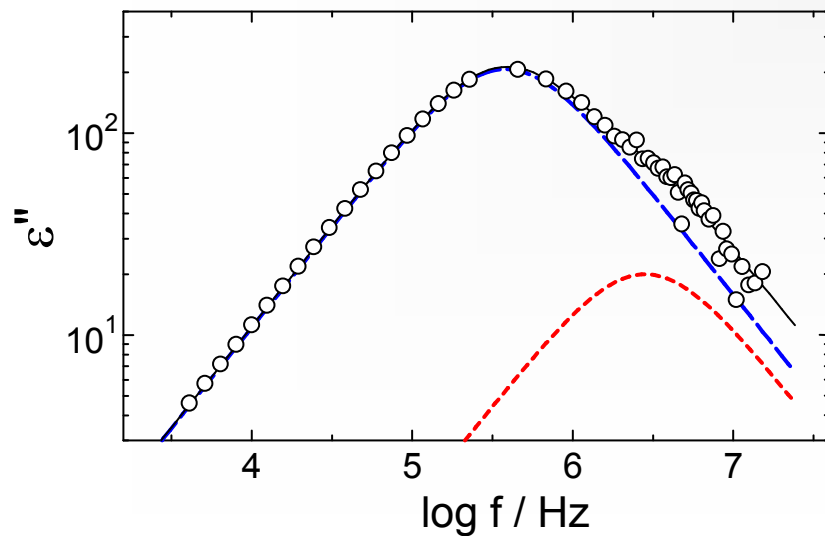
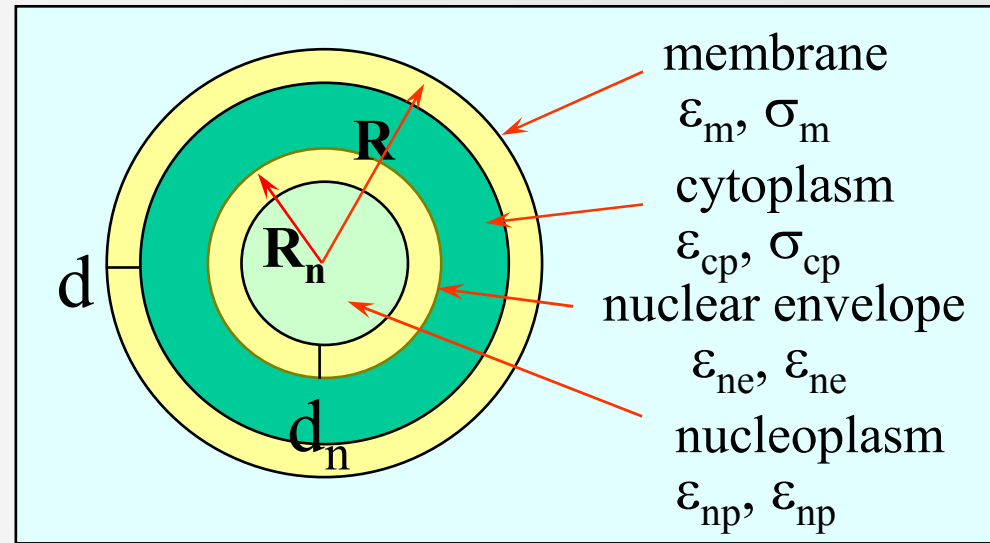
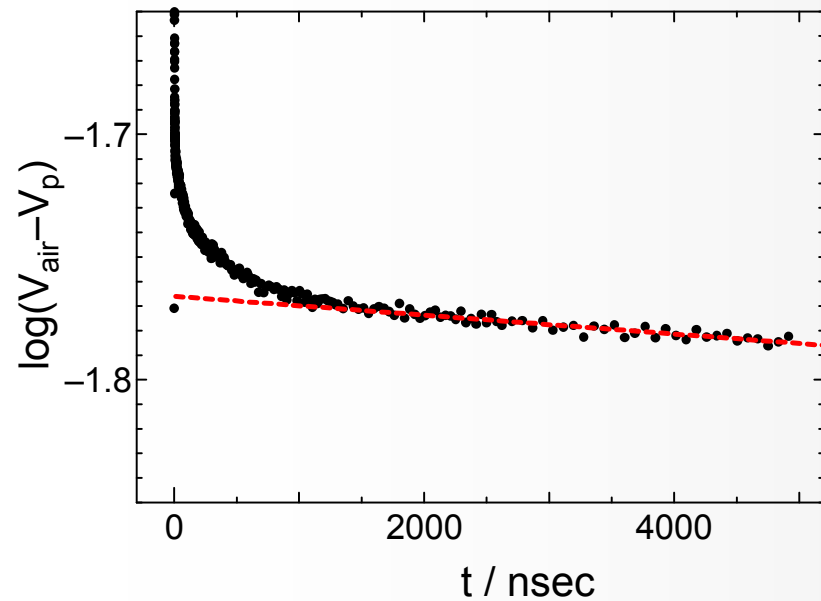
$$V_p(t) = V_x(t) + V_{ec}(t)$$

Electrode polarization correction function

$$V_{ec}(t) = \frac{\tau_p}{\tau_R + \tau_p} \left(1 - e^{-t/\tau_2}\right)$$

$$\text{where } \tau_2 = \frac{1 - V_p(t_{\max})}{\dot{V}_p(t_{\max})}$$

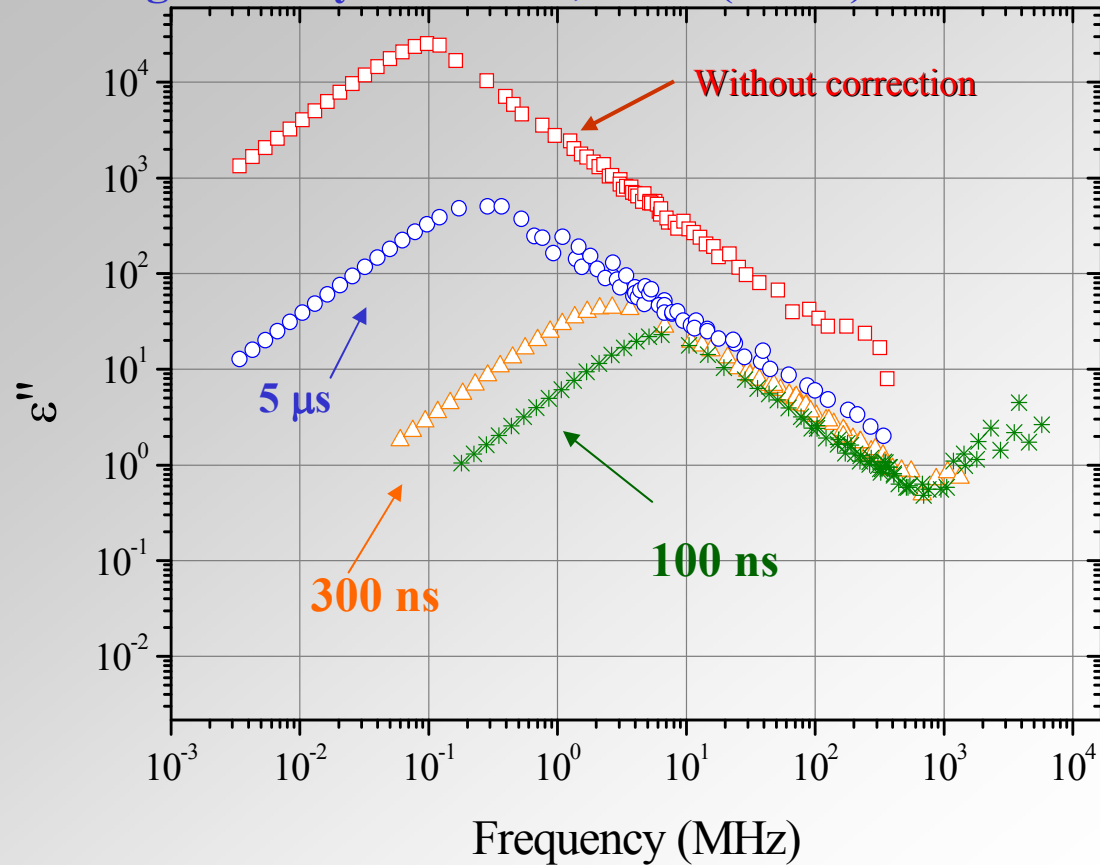
Example B: Human lymphocyte suspension



Single exponent method: *Limitation*

This behavior allows us to suggest the frequency (or time) self-similarity of the electrode polarization phenomenon, i.e. the fractal nature of this effect.

Strong electrolyte solution; NaCl (0.1 M) in water

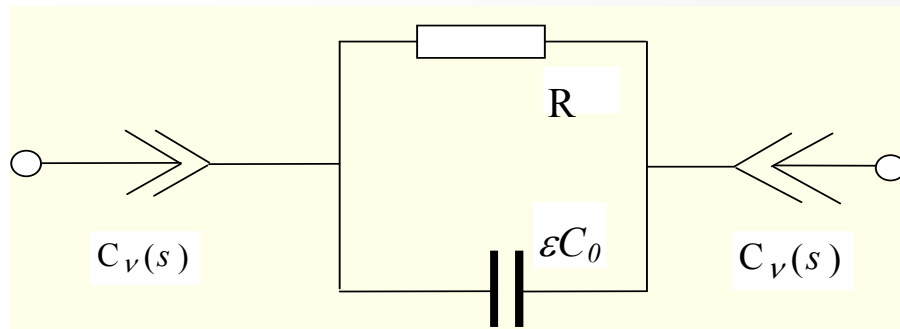


Fractal approach

can be applied to high conductive sample



Electrodes should be polished carefully because the electrode polarization depends on the fractal nature of the electrodes surfaces.



Equivalent circuits for a conductive sample with electrode polarization impedance described by recap C_v

$$Z_0(j\omega) = A(\nu)(j\omega)^{-\nu}$$

In Frequency domain:

$$C_{v_i}(s) \equiv C_{v_i} \cdot s^{-v_i} = R_i (R_i C_i)^{-v_i} s^{-v_i}$$

$$i=1,2; 0 < \nu < 1$$

In Time domain:

$$V(t) \propto \frac{C_{v_1}}{v_1 \Gamma(v_1)} t^{v_1} + \frac{C_{v_2}}{v_2 \Gamma(v_2)} t^{v_2} + R[1 - \exp(-t/\tau_c)]$$

a) both electrodes of the sample holder have the same (or equivalent) electrode polarization ($v_1 = v_2$; $C_{v_1} = C_{v_2}$)

b) ($v_1 \neq v_2$; $C_{v_1} \neq C_{v_2}$)

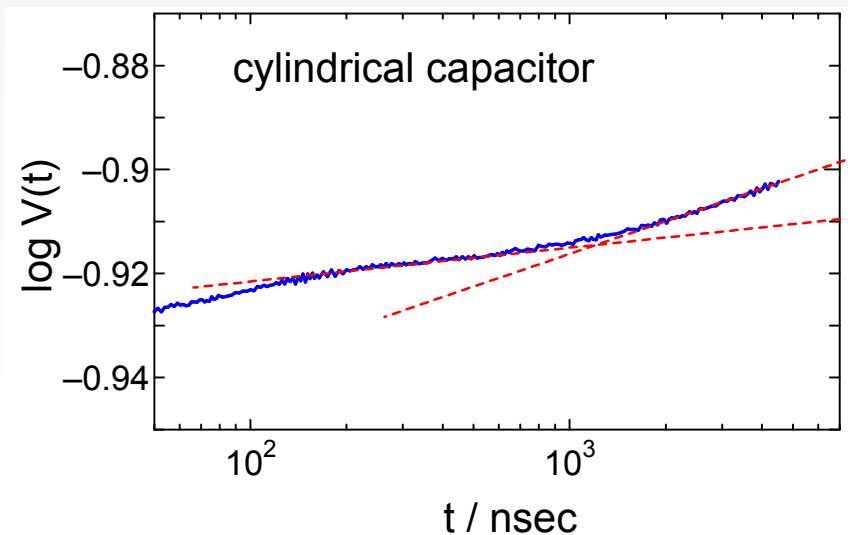
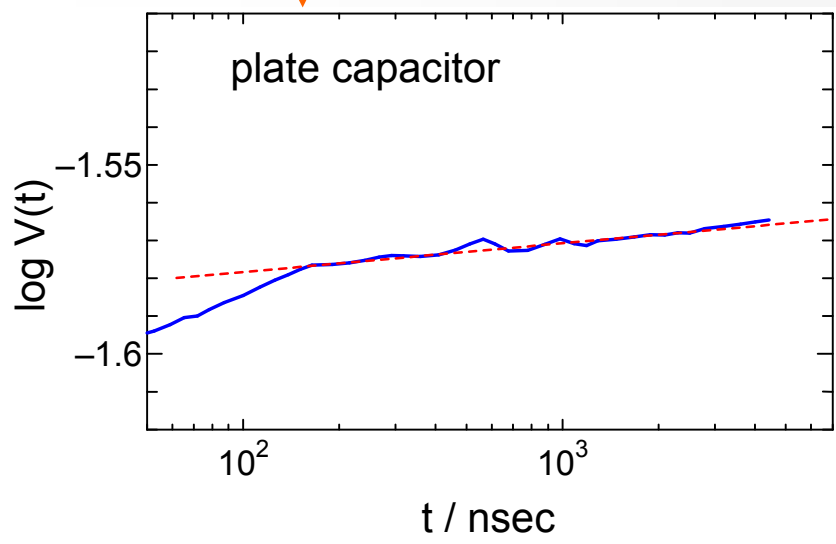
$$V(t) = Bt^v + Rt/\tau_c \quad \text{for } t/\tau_c \ll 1$$

$$V(t) = Bt^v + R \quad \text{for } t/\tau_c \gg 1$$

$$V(t) = B_1 t^{v_1} + B_2 t^{v_2} + Rt/\tau_c \quad \text{for } t/\tau_c \ll 1$$

$$V(t) = B_1 t^{v_1} + B_2 t^{v_2} + R \quad \text{for } t/\tau_c \gg 1$$

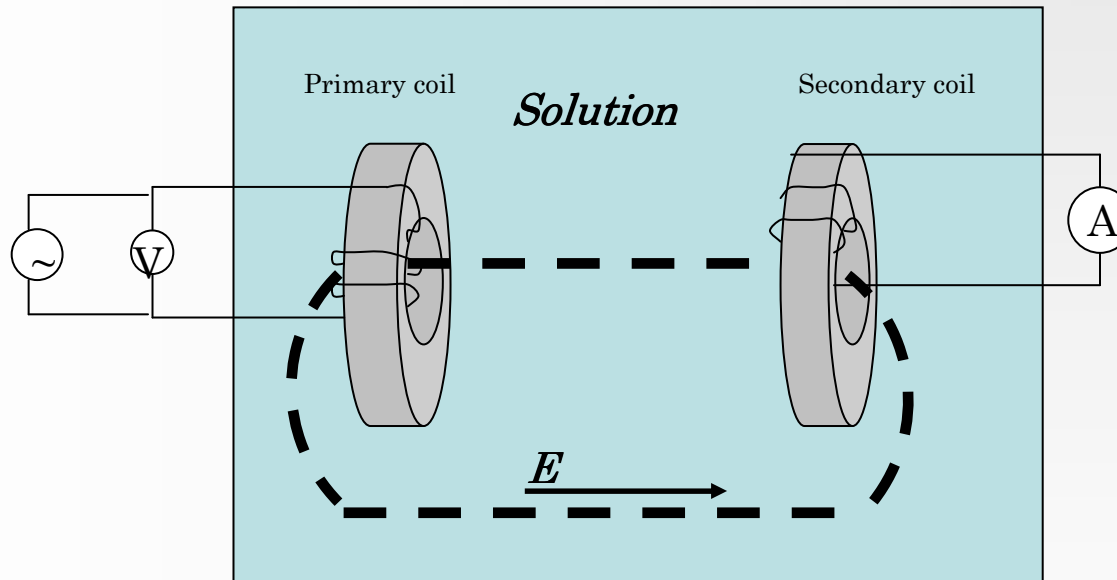
There is no dispersion of the conductive solution (sample) in the time window defined by τ_c ($> t_{\min} = 1/\omega_{\max}$)



Electromagnetic inductive method

- *additional remark for near future* -

The HP Sensor



There is no electrode polarization!

Problem is amount of sample (400 ml).

H. Wakamatsu, 1997. *Hewlett-Packard J.* **48**, 37.

K. Asami et al., 1999. *Biophys. J.* **76**, 3345.

Data Treatment

What function?

Fitting?

dc conductivity correction?

Frequency Domain \rightarrow $\frac{\epsilon^*(\omega) - \epsilon_\infty}{\epsilon_s - \epsilon_\infty} = \hat{L} \left[-\frac{d}{dt} \phi(t) \right] \leftarrow$ Time Domain

Havriliak-Negami (HN)

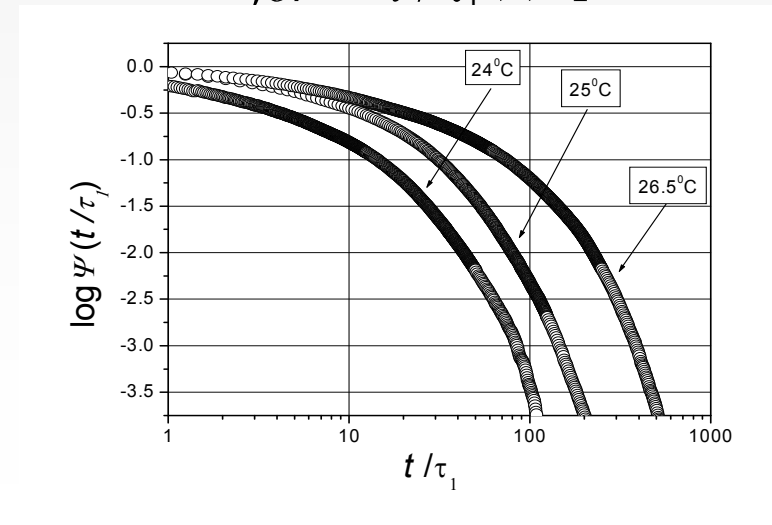
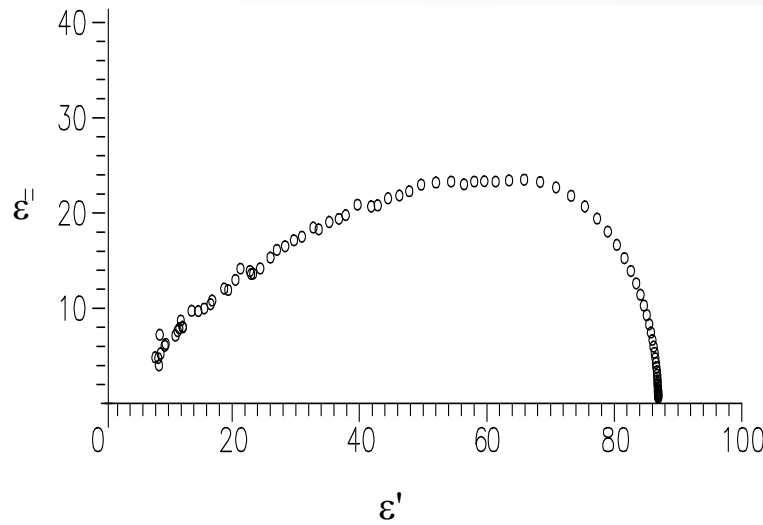
$$\epsilon^*(\omega) = \epsilon_\infty + \frac{\epsilon_s - \epsilon_\infty}{[1 + (i\omega\tau_m)^\alpha]^\beta}$$



Power \times stretched exponential

$$\phi(t) = A \left(\frac{t}{\tau_1} \right)^{-\mu} \exp \left\{ - \left(\frac{t}{\tau_m} \right)^\nu \right\}$$

for $t / \tau_1 \gg 1$



DS data treatment; problems of correct fitting

➤ **Simultaneous fit of both real and imaginary components of the complex dielectric permittivity data;**

➤ **Problems in selecting the proper fitting function, such as:**

The limited frequency and temperature ranges of the experiment;

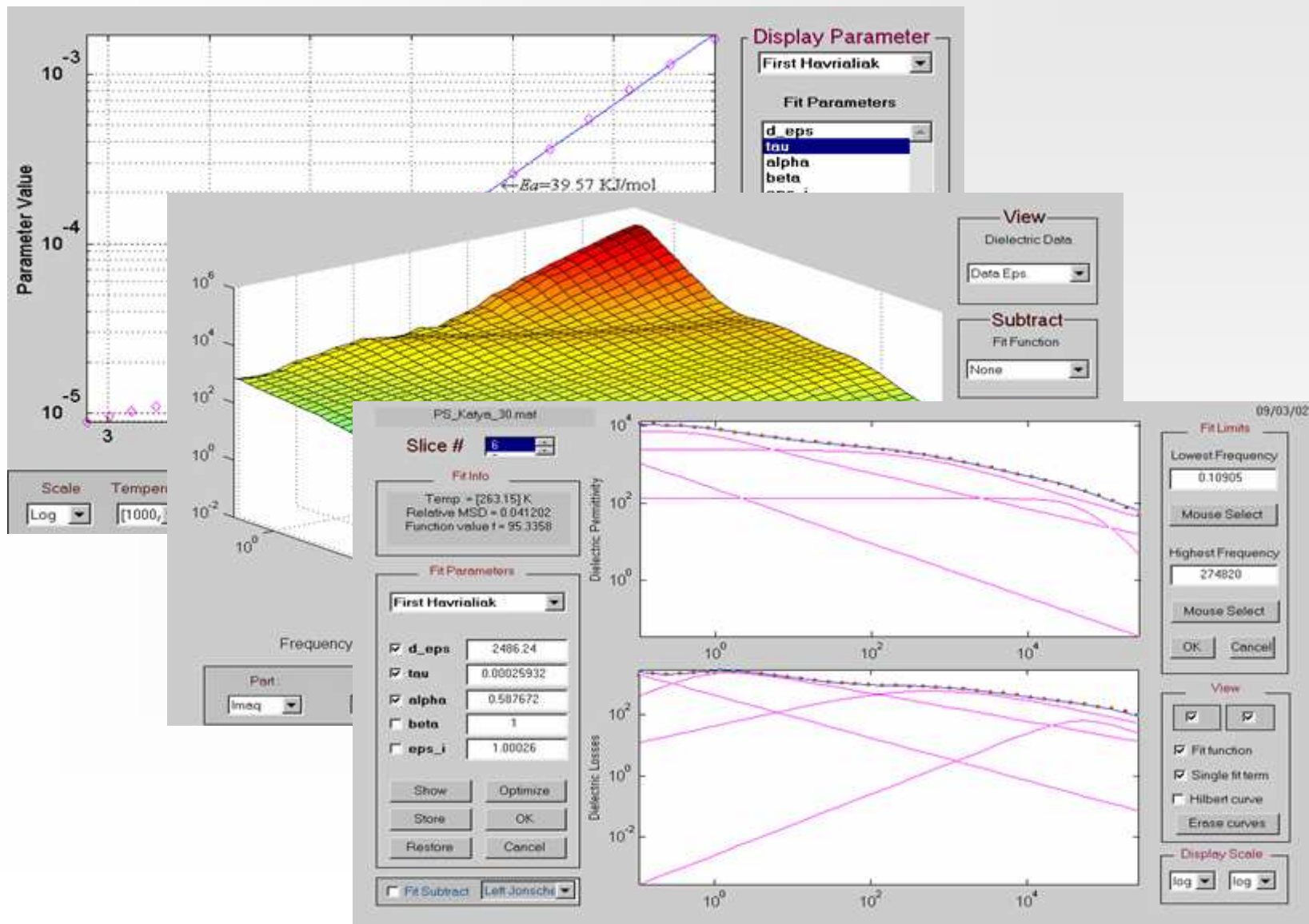
Distortion influences of the sample holder;

Overlapping of several physical processes with different amplitudes in the same frequency and temperature ranges.

➤ **Continuous parameter estimation;**

➤ **dc conductivity problems.**

New Fitting Software-*“MatFit”*



Key features of the program

- **Simultaneous fit of both real and imaginary components of the complex dielectric permittivity data;**
- **Linear and nonlinear fitting methods, from least squares and logarithmic to fitting procedures based on entropy norm;**
- **Global fit procedure on all selected temperature ranges for continuous parameter estimation;**
- **Hilbert Transform for computing dc conductivity.**

Complex systems?

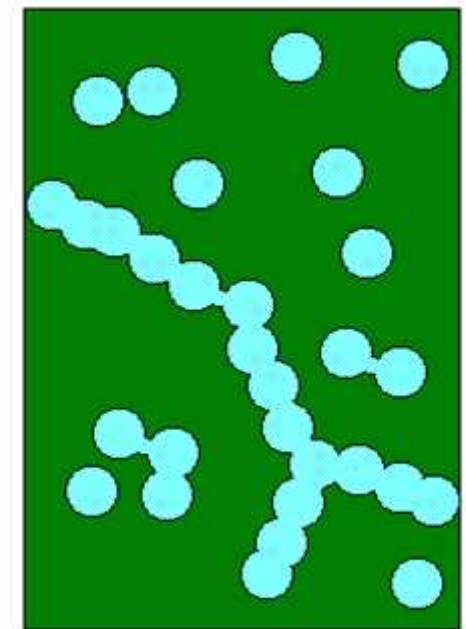
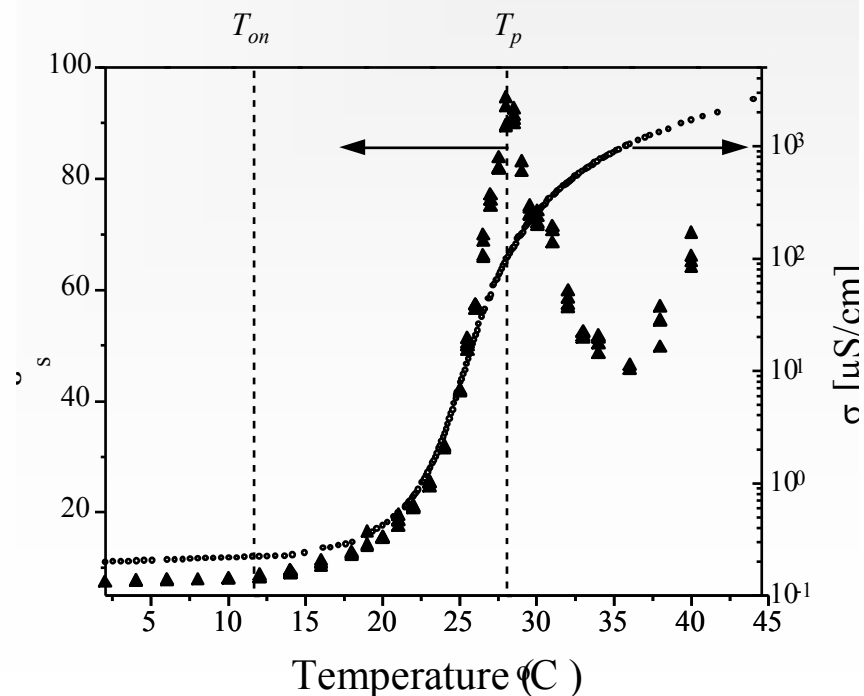
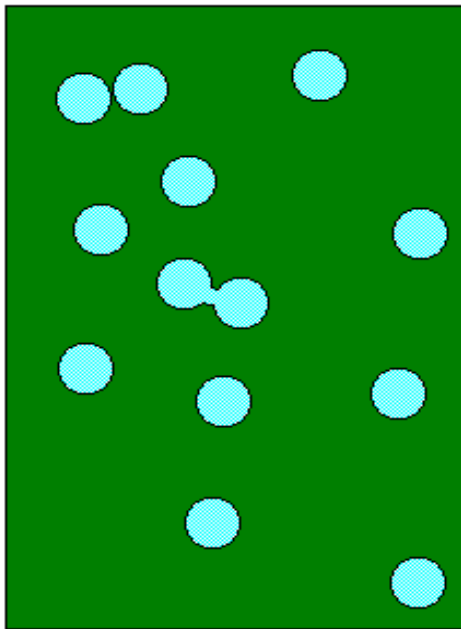
Complex systems involve in the appearance of a new "*mesoscopic*" length scale, intermediate between molecular and macroscopic.

- *Complex liquids (microemulsions, emulsions, etc.)*
- *Porous materials (porous glasses, porous silicon, etc.)*
- *Ferroelectrics*
- *Glass forming liquids and polymers including composites*
- *Biological systems (protein solutions, membranes and cell suspensions)*

What is the percolation phenomenon?

Percolation: The transition associated with the formation of a continuous path spanning an arbitrarily large ("infinite") range.

The percolation cluster is a self-similar fractal.

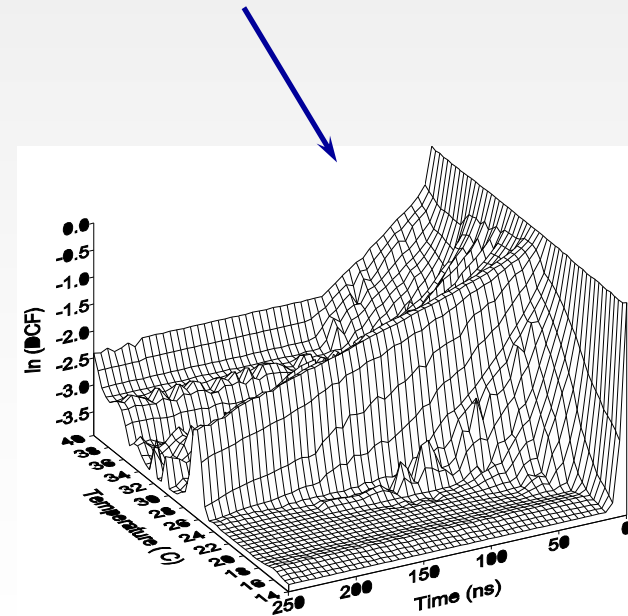
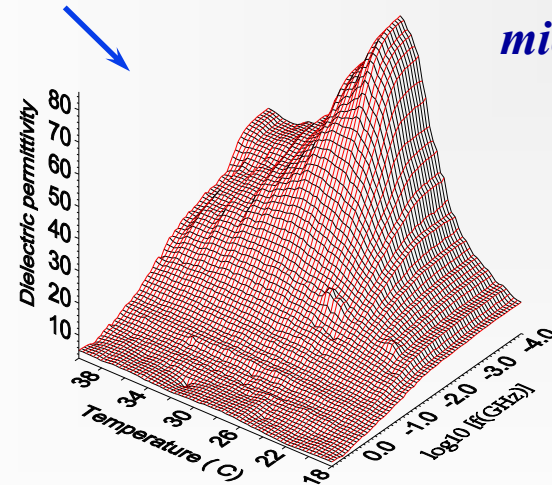
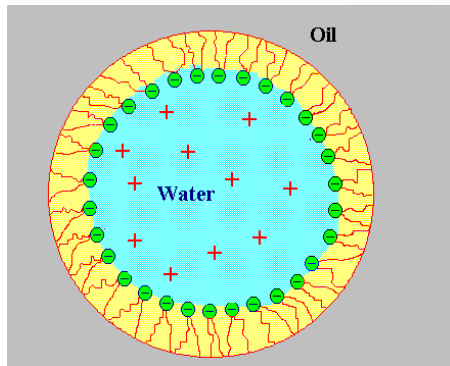


Dielectric response in microemulsions

$$\psi(t) \approx \frac{\langle \overline{M}(0) \cdot \overline{M}(t) \rangle}{\langle \overline{M}(0) \cdot \overline{M}(0) \rangle},$$

Three dimensional plots of frequency and temperature dependence of the dielectric permittivity ϵ' for the AOT/water/decane microemulsion

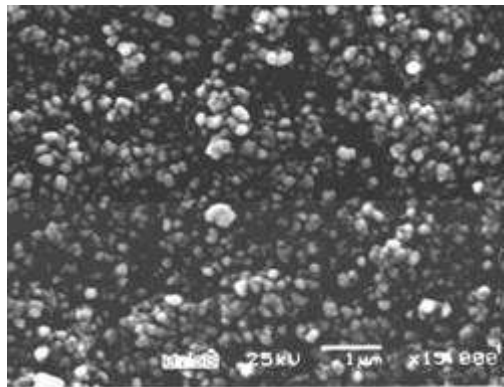
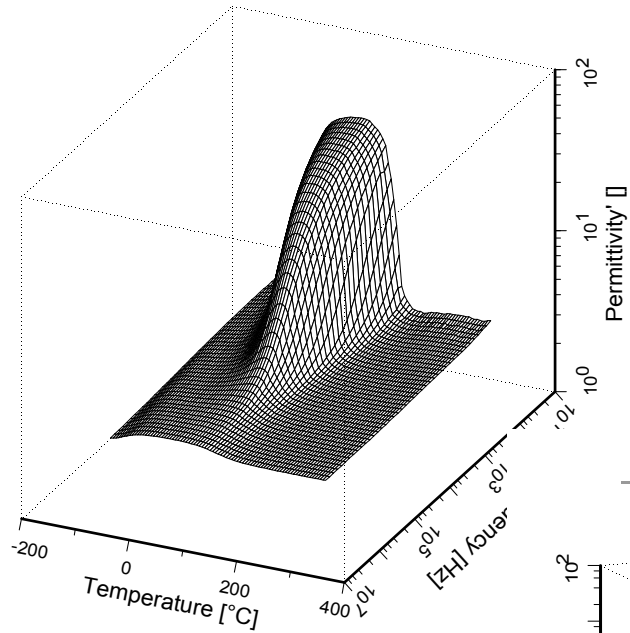
Three-dimensional plots of the time and temperature dependence of the macroscopic Dipole Correlation Function for the AOT-water-decane microemulsion



***AOT-water-decane microemulsion (17.5:21.3:61.2 vol%),
 $W = 26.3$, $R_{wp} = 35.6$ Angstrom***

Dielectric response of the porous glass materials

Sample A



additional treatment in 0.5N KOH

rinsing in deionized water

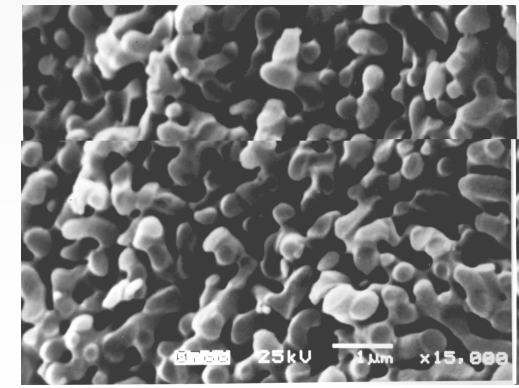
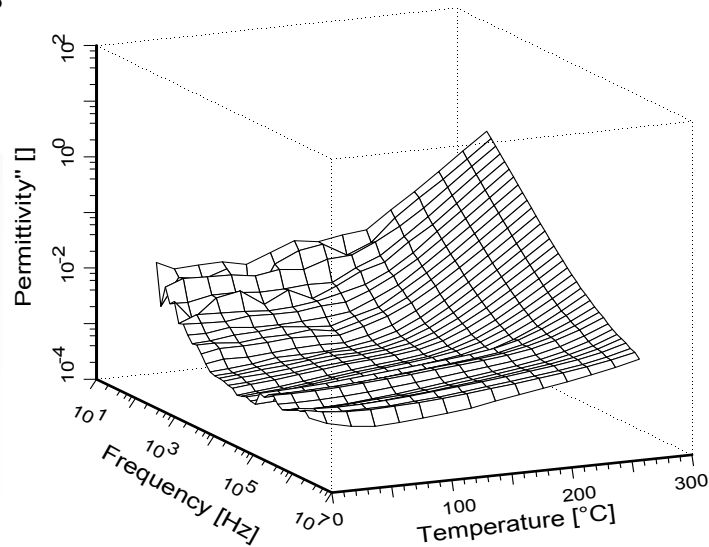
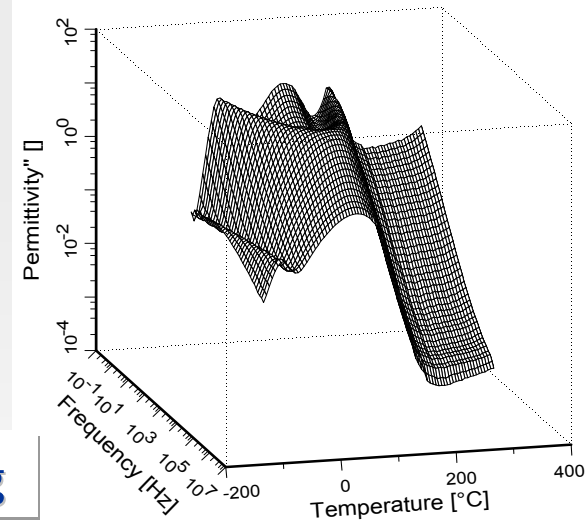
drying at 200°C

Sample B

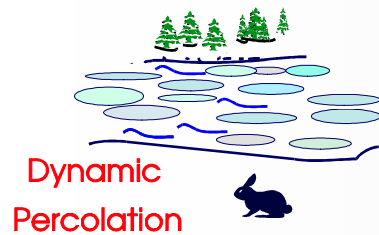
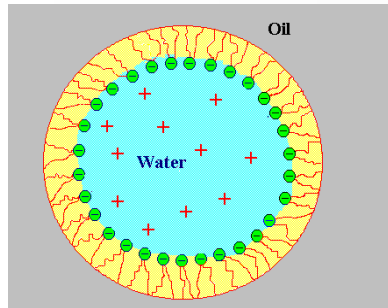
Sample D

Sample C after heating

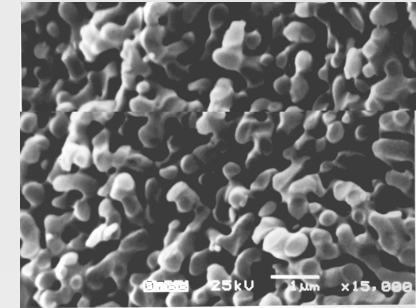
Sample C



AOT-water-decane microemulsion



Porous borosilicate glass samples



$$\varepsilon^*(\omega) = \varepsilon_\infty + \Delta\varepsilon F^\Lambda \left(-\frac{d}{dt} \Psi(t) \right)$$

$$\psi(t) \approx \frac{\langle \overline{M(0)} \cdot \overline{M(t)} \rangle}{\langle \overline{M(0)} \cdot \overline{M(0)} \rangle},$$

$$\Psi(t) = A (t/\tau_1)^{-\mu} \exp [- (t/\tau_m)^\nu]$$

$$\alpha = \frac{1}{\nu} - 1$$

$$\gamma = \frac{\mu}{\nu} + \frac{1}{2}$$

$$s_m = \frac{1}{\alpha} \left(\frac{\tau}{\tau_1} \right)^{\frac{1}{\alpha}} \cdot (1 + \alpha)^{-\frac{1+\alpha}{\alpha}}$$

$$\Psi(t) \sim \exp [- (t/\tau_m)^\nu]$$

Morphology parameters:

s_m : cut-off cluster size

γ : polydispersity index

η : cut-off rate index

Dynamic parameters:

τ_1 : minimal time

α : scaling parameter

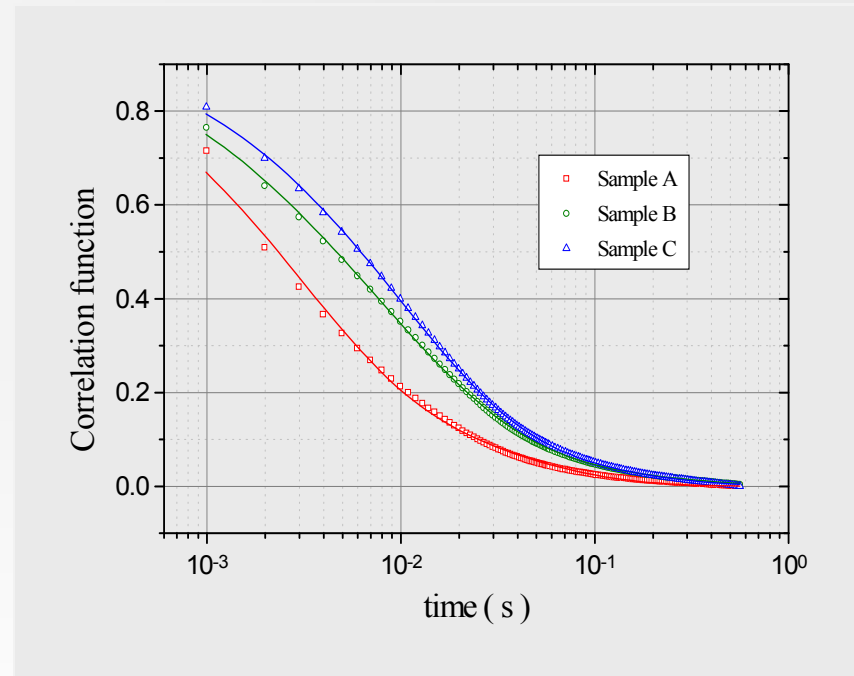
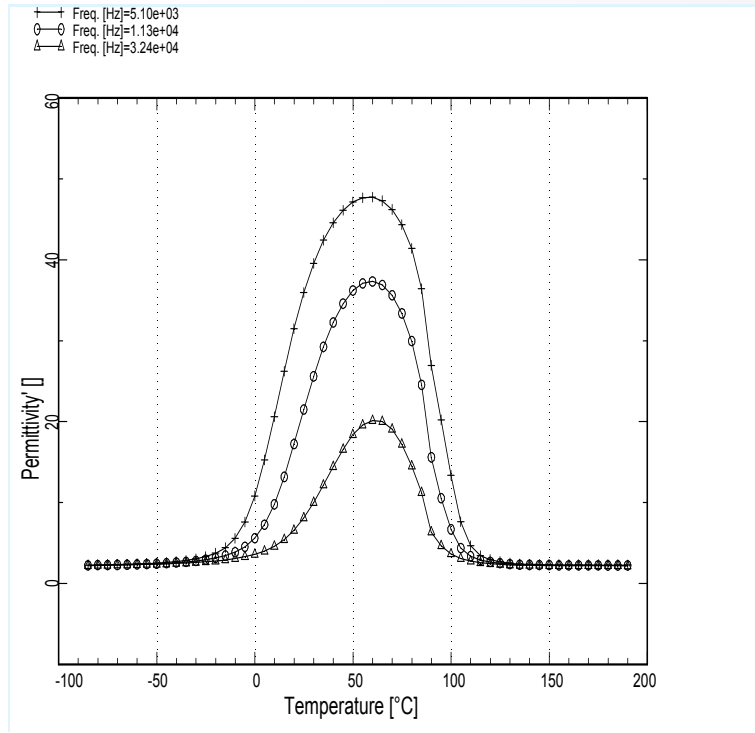
$$D_s = \frac{D_d}{1 - D_d \frac{\lg \Theta}{\lg s_m}}$$

$$D_f = 3\nu,$$

where D_f is a fractal dimension

Dielectric relaxation in percolation

Percolation: Transfer of electric excitation through the developed system of open pores

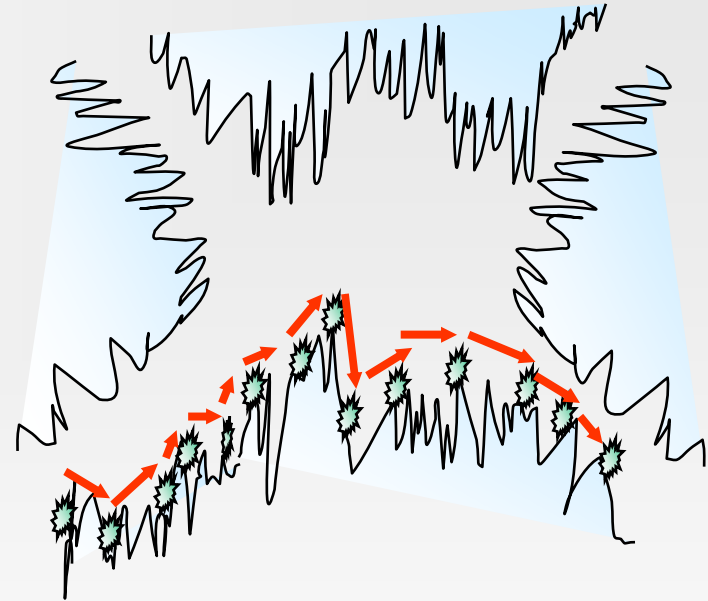
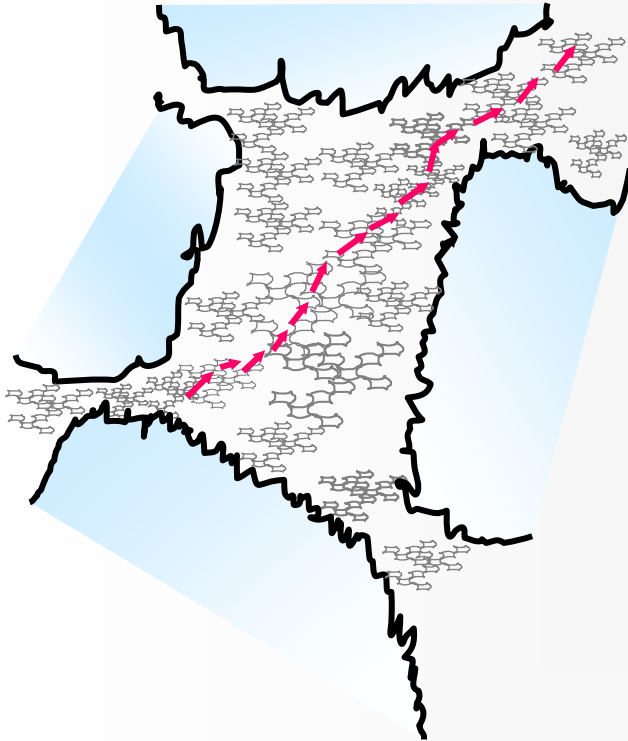


$$\epsilon^*(\omega) = \epsilon_\infty + (\epsilon_s - \epsilon_\infty) F^\Delta \left(-\frac{d}{dt} \Psi(t) \right)$$

$$\Psi(t) \sim \exp \left[- (t/\tau_m)^\nu \right]$$

$D_f = 3\nu$,
 where D_f is a fractal dimension

The Fractal Dimension of Percolation Pass



<i>Sample</i>	A	B	C	D
<i>Fractal dimension D_f</i>	0.99	1.89	1.31	2.5

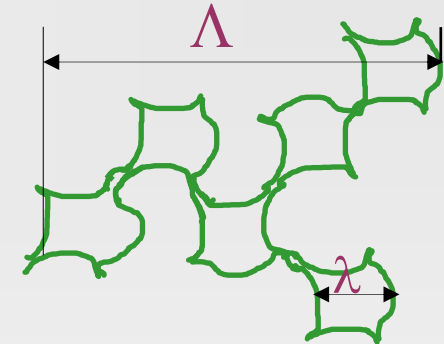
Porous medium in terms of regular and random fractals

$$\phi = \frac{V_p}{V}$$

ϕ : porosity of two phase solid-pore system

V_p : volume of the whole empty space

V : whole volume of the sample



$$\Phi = \int_{\mu} \phi(\xi, D) w(\xi) d\xi$$

$$\phi = \left(\frac{\lambda}{\Lambda} \right)^{d-D}$$

Λ, λ : upper and lower limits of self-similarity

D : regular fractal dimension of the system

$$\mu = \lambda / \Lambda$$

ξ : scale parameter $\xi \in [\mu, 1]$

$$w(\xi) = A \xi^{\alpha} \exp(-a \xi^{\beta})$$

w : size distribution function

α, β, A : empirical parameters

Porosity Determination

(A.Puzenko, et al., Phys. Rev. (B), 60, 14348, 1999)

$$\mu = \lambda / \Lambda$$

$$1 + \alpha > 0, |\alpha| \ll 1$$

$$d = 3, \quad \mu \ll 1$$

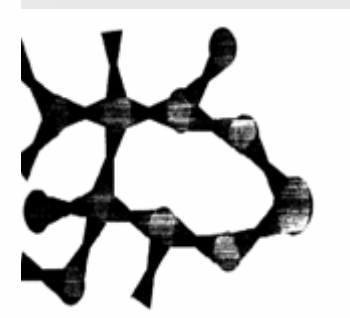
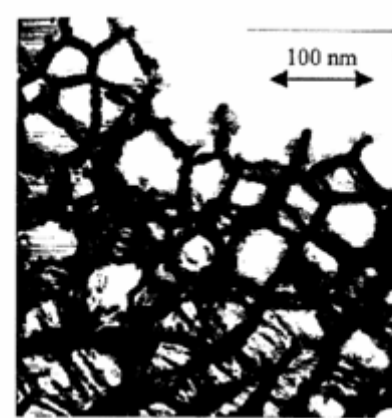
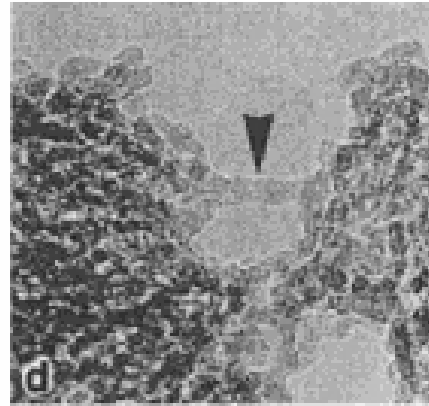
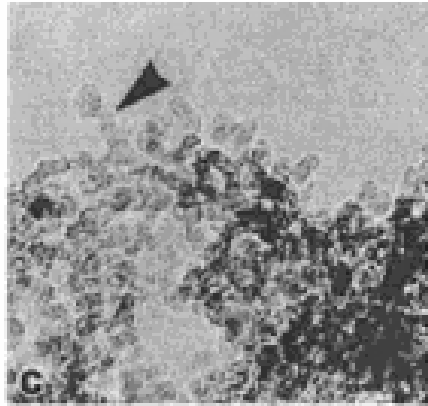
$$\phi \approx \frac{1 + \alpha}{1 + \alpha + d - D} \cdot \frac{1 - \mu^{1 + \alpha + d - D}}{1 - \mu^{1 + \alpha}},$$

when $a \ll 1, \mu \ll 1$

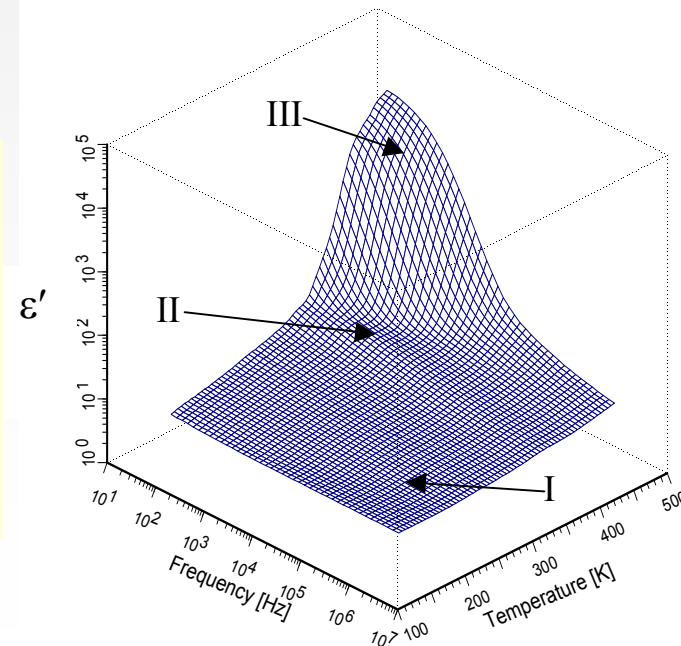
$$\phi \approx \frac{1}{4 - D}$$

<i>Sample</i>	<i>Fractal dimension</i> D_f	<i>Porosity ϕ (%)</i> (obtained from relative mass decrement measurements)	<i>Porosity ϕ (%)</i> (obtained from dielectric measurements)
A	0.99	38	33
B	1.89	48	47
C	1.31	38	37
D	2.5	50	68

PS HR-TEM micrographs and dielectric response



- *Disordered network of crystallites and pores*
- *Fractal structure*
- *Preserve the crystalline nature*



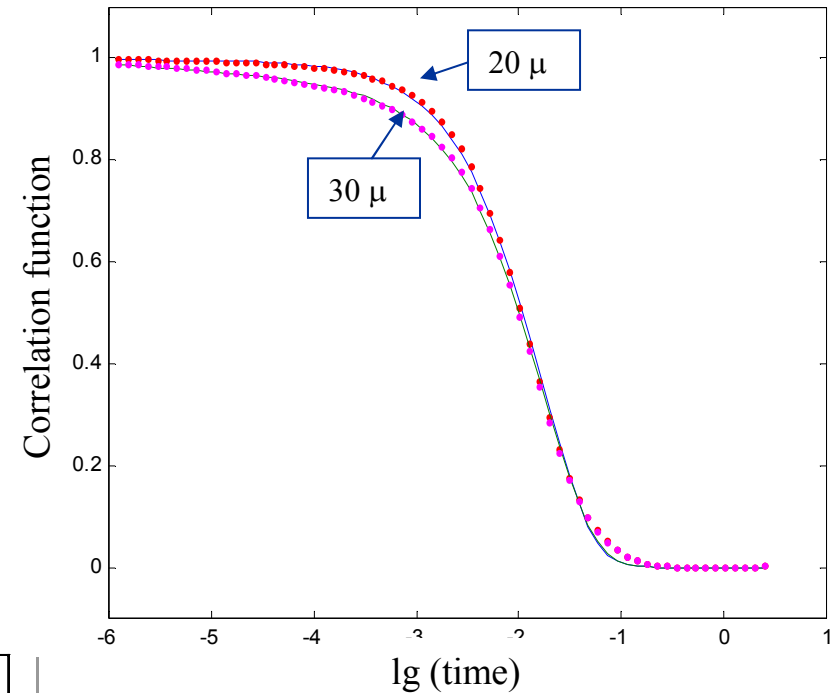
PS Mid-T process II (300-400 K)

Porosity Determination

$\Psi(t/\tau) \sim e^{-(t/\tau)^\nu}$ Dielectric response at the percolation

D_f is the fractal dimension of the pore channel lengths $D_f = 3 - \nu$

Sample	Stretching parameter ν	Fractal dimension D_f	Porosity ϕ (%) (obtained from dielectric measurements)
20 μ	0.88±0.02	2.64±0.06	74±1
30 μ	0.87±0.02	2.61±0.06	72±1

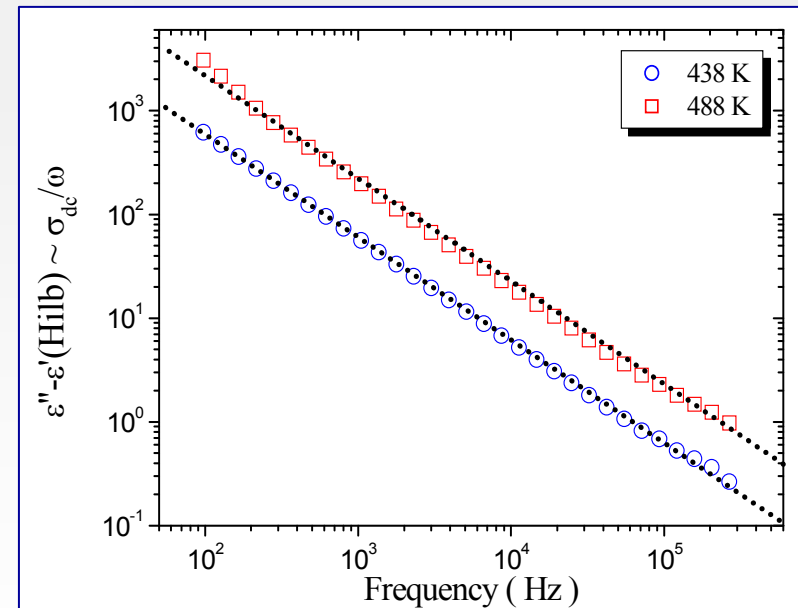
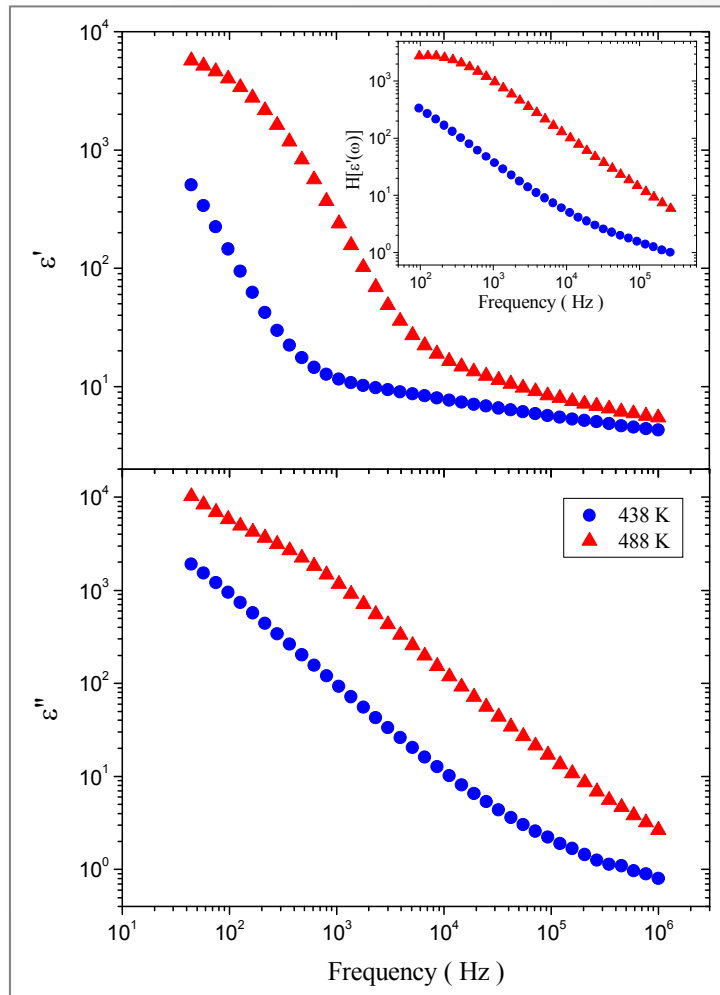


$$\phi = \frac{1}{4 - D_f} \frac{1 - \mu^{4 - D_f}}{1 - \mu} \approx \frac{1}{4 - D_f}$$

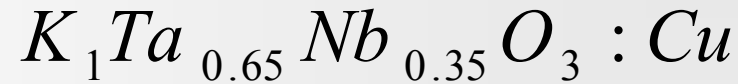
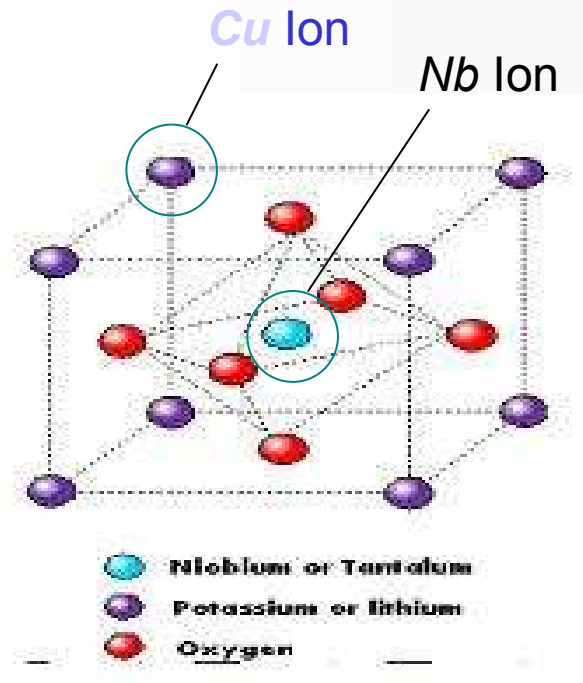
DC conductivity

> 100 °C

Hilbert transform - we applied the Kramers-Kronig relations to the real part of the dielectric function, and subtracted this term from the imaginary part of the measured dielectric data.



KTN Crystals



Perovskite Structure ABO_3

Cu doped at 2% by weight – 1:1000 unit cell volumes

Questions

- What does Cu do to the dielectric relaxation?
- Does Cu interact with Nb ?
- What effects do the differing ionic radii of Cu and K cause ?

$$\epsilon_s = \frac{C}{(T - T_0)}$$

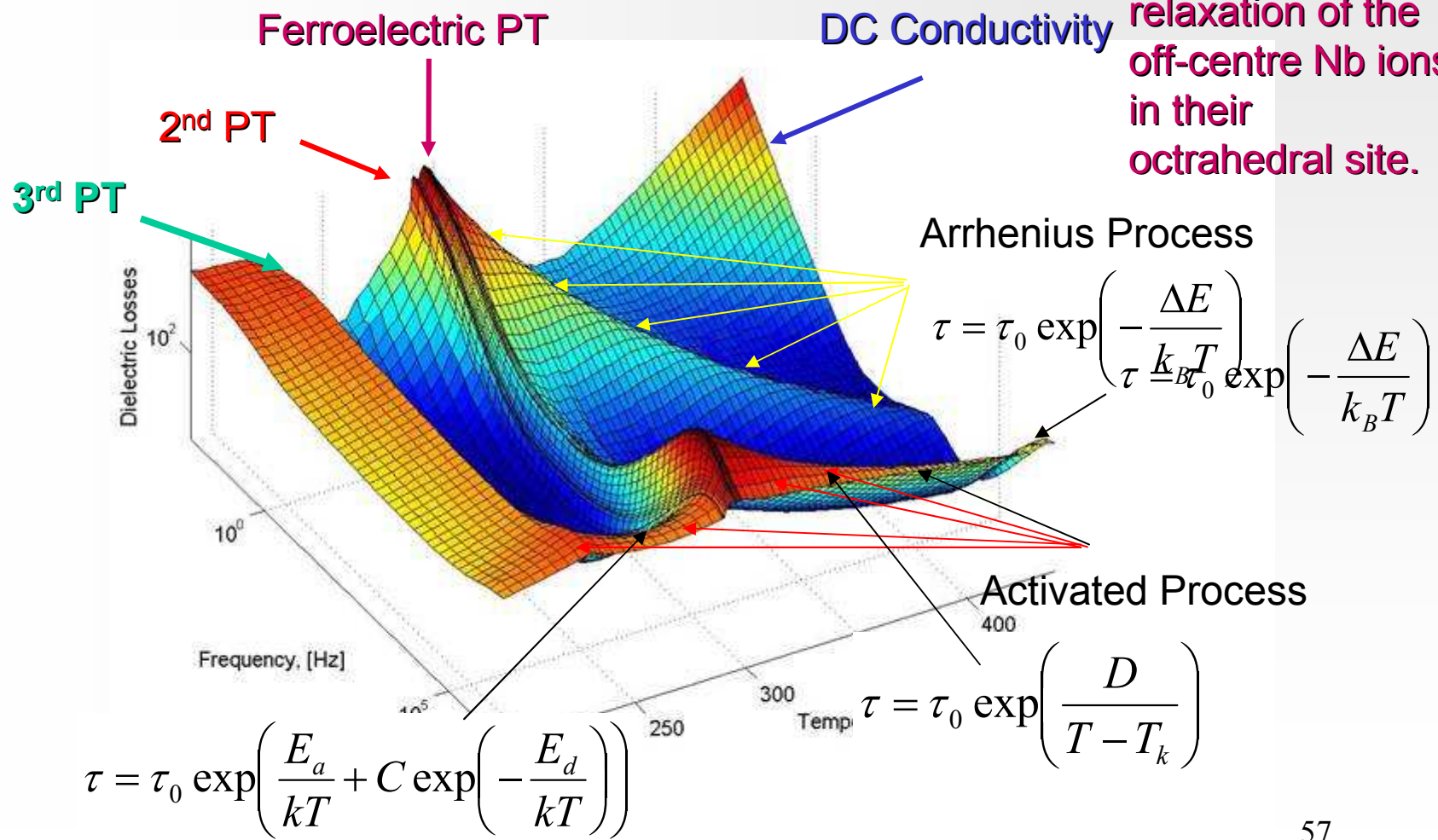
High temperature

→

Low temperature

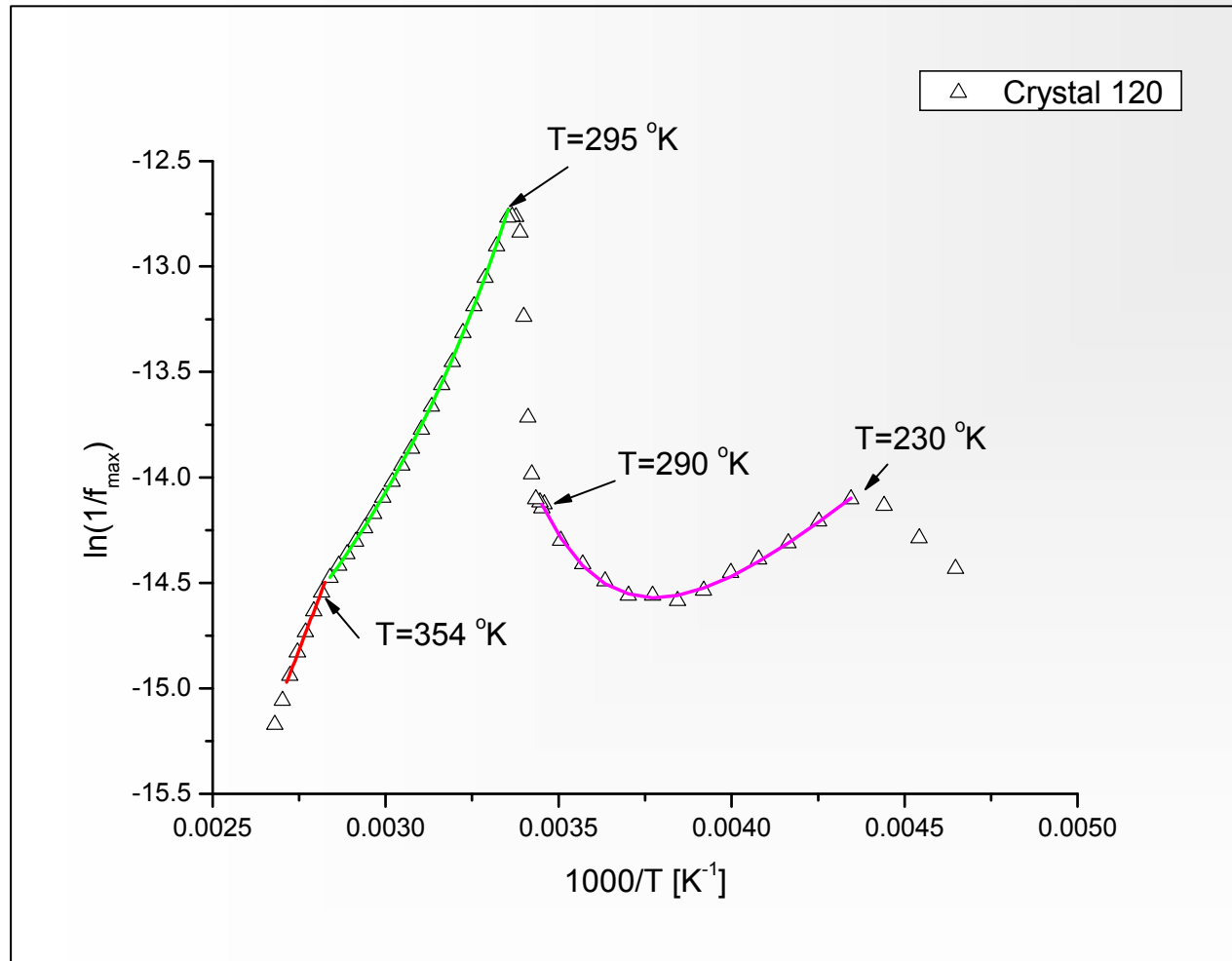
cubic → tetragonal → orthorhombic → rhombohedral

Crystal 120 KTN – Dielectric Losses through the Ferroelectric phase transition



has been linked to dynamic relaxation of the off-centre Nb ions in their octrahedral site.

Maximum Peak Frequency



Paraelectric Phase

$$T_x = 354 \text{ }^\circ\text{K}$$

$$T_k = 228 \text{ }^\circ\text{K}$$

$$\Delta\mu = 0.02 \text{ eV}$$

$$z^* \approx 3$$

$$\Delta E = 0.37 \text{ eV}$$

Ferroelectric Phase

$$E_a = 0.12 \text{ eV}$$

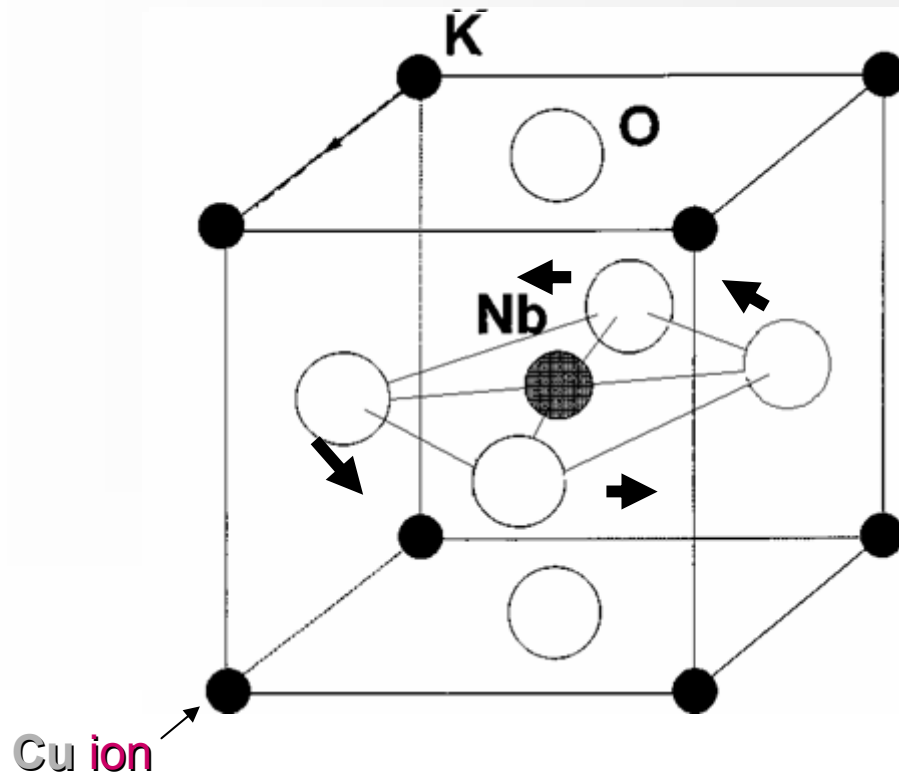
$$E_d = 0.32 \text{ eV}$$

$$C = \frac{1}{\eta} = 5.5 \times 10^5$$

Where η is the maximum defect concentration

Free Volume Model

- **Ferroelectric Phase – Structural Shift constrains Cu relaxation**
- **Cu ions perturbate the Lattice structure**
- **Some of these “Defects” provide the space needed for the Oxygen Octahedra to “tilt”.**
- **Alternatively the Defects allow the migration of Oxygen Vacancies**



K⁺	1.37 pm
O⁻²	1.40 pm
Cu⁺²	0.73 pm
Cu⁺¹	0.77 pm